

# Coalgebra and coinduction (II)

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DI-CCTC, UM, 2008

- Moore and Mealy transducers
- Behavioural effects
- The general case: coalgebras
- Bisimulation and bisimilarity

# Moore transducers

state space	$U$
transition function	$\overline{nx} : U^A \longleftarrow U$
attribute (or label)	$at : B \longleftarrow U$

i.e.,

$$p = \langle \overline{nx}, at \rangle : U^A \times B \longleftarrow U$$

Notation:

$$\begin{aligned}
 u \xrightarrow{a}_p u' &\Leftrightarrow \overline{nx} u a = u' \\
 u \downarrow_p b &\Leftrightarrow at u = b
 \end{aligned}$$

## Moore transducers

The **behaviour** of  $p$  at (from) a state  $u \in U$  is revealed by successive observations (experiments) triggered on input of different values  $a \in A$ :

$$\llbracket p \rrbracket u = [\text{at } u, \text{at } (\overline{nx} \ u \ a_0), \text{at } (\overline{nx} \ (\overline{nx} \ u \ a_0) \ a_1), \dots]$$

$$\llbracket p \rrbracket u \ \underline{\text{nil}} = \text{at } u$$

$$\llbracket p \rrbracket u (a : t) = \llbracket p \rrbracket (\overline{nx} \ u \ a) \ t$$

which means that

Moore behaviours are elements of  $B^{A^*}$   
 (depicted as rooted trees whose branches are labelled by sequences of inputs and leaves by  $B$  values)

# Moore morphisms

A morphism

$$h : q \longleftarrow p$$

where

$$p = \langle \overline{nx}, \text{at} \rangle : U^A \times B \longleftarrow U$$

$$q = \langle \overline{nx'}, \text{at}' \rangle : V^A \times B \longleftarrow V$$

is a function  $h : V \longleftarrow U$  such that

$$\begin{array}{ccc} U & \xrightarrow{p} & U^A \times B \\ h \downarrow & & \downarrow h^A \times \text{id} \\ V & \xrightarrow{q} & V^A \times B \end{array}$$

To avoid the explicit use of exponentials, the diagram can be decomposed into:

# Moore morphisms

$$\begin{array}{ccc}
 U & \xrightarrow{\text{at}} & B \\
 h \downarrow & & \downarrow \text{id} \\
 V & \xrightarrow{\text{at}'} & B
 \end{array}$$

and

$$\begin{array}{ccc}
 U \times A & \xrightarrow{nx} & U \\
 h \times \text{id} \downarrow & & \downarrow h \\
 V \times A & \xrightarrow{nx'} & V
 \end{array}$$

corresponding to

$$\begin{aligned}
 \text{at}' \cdot h &= \text{at} \\
 nx' \cdot (h \times \text{id}) &= h \cdot nx
 \end{aligned}$$

# Moore morphisms

Clearly, morphisms **preserve attributes and transitions**

$$u \xrightarrow{a}_p u' \quad \text{and} \quad u \downarrow_p b$$

$$\Leftrightarrow \quad \{ \text{definition} \}$$

$$\text{nx}(u, a) = u' \quad \text{and} \quad \text{at } u = b$$

$$\Leftrightarrow \quad \{ \text{Liebniz} \}$$

$$h \text{nx}(u, a) = h u' \quad \text{and} \quad \text{at } u = b$$

$$\Leftrightarrow \quad \{ h \text{ is a morphism} \}$$

$$\text{nx}'(h u, a) = h u' \quad \text{and} \quad \text{at}' h u = b$$

$$\Leftrightarrow \quad \{ \text{definition} \}$$

$$h u \xrightarrow{a}_q h u' \quad \text{and} \quad h u \downarrow_q b$$

## The final Moore transducer

Moore behaviours organise themselves into a **final** Moore machine over  $B^{A^*}$

$$\omega = \langle \overline{\text{nx}}_\omega, \text{at}_\omega \rangle : (B^{A^*})^A \times B \longleftarrow B^{A^*}$$

where

$\text{at}_\omega f = f \text{ nil}$  ie, the value before any input

$\overline{\text{nx}}_\omega f a = \lambda s. f(a : s)$  every input determines its evolution



## The final Moore transducer

Th: Coalgebra  $\omega$  is the final coalgebra for  $\mathsf{T}X = X^A \times B$

because

1. For any  $p = \langle \overline{nx}, \text{at} \rangle$ ,  $\llbracket p \rrbracket$  is a Moore morphism  $\llbracket p \rrbracket : \omega \longleftarrow p$

$$\text{at}_\omega \cdot \llbracket p \rrbracket = \text{at}$$

$$\Leftrightarrow \quad \{ \text{introduction of variables} \}$$

$$\text{at}_\omega(\llbracket p \rrbracket u) = \text{at } u$$

$$\Leftrightarrow \quad \{ \text{definition of } \text{at}_\omega \}$$

$$(\llbracket p \rrbracket u) \text{nil} = \text{at } u$$

$$\Leftrightarrow \quad \{ \text{definition of } \llbracket p \rrbracket \}$$

TRUE

# The final Moore transducer

$$\text{nx}_\omega \cdot (\llbracket p \rrbracket \times \text{id}) = \llbracket p \rrbracket \cdot \text{nx}$$

$$\Leftrightarrow \quad \{ \text{introduction of variables and application} \}$$

$$\text{nx}_\omega(\llbracket p \rrbracket u, a) = \llbracket p \rrbracket \text{nx}(u, a)$$

$$\Leftrightarrow \quad \{ \text{definition of } \text{nx}_\omega \}$$

$$\lambda s. (\llbracket p \rrbracket u)(a : s) = \llbracket p \rrbracket \text{nx}(u, a)$$

$$\Leftrightarrow \quad \{ \text{introduction of variables and application} \}$$

$$(\llbracket p \rrbracket u)(a : t) = (\llbracket p \rrbracket \text{nx}(u, a)) t$$

$$\Leftrightarrow \quad \{ \text{definition of } \llbracket p \rrbracket \}$$

TRUE

# The final Moore transducer

2. ... and is **unique**

**Exercise.** Prove uniqueness (by induction on  $A^*$ )

## Instances of Moore transducers

$$Queue = \langle \overline{nx}, at \rangle : (E^*)^{E+1} \times ((E + 1) \times 2) \longleftarrow E^*$$

with

$$at = \langle top, isempty? \rangle$$

$$\text{where } top\ s = (s = \underline{nil} \rightarrow \iota_2 *, \iota_1(\text{last } s))$$

$$isempty?\ s = s = \underline{nil}$$

$$nx = [enq, deq] \cdot dl$$

$$\text{where } enq\ (s, e) = e : s$$

$$deq\ (s, *) = (s = \underline{nil} \rightarrow s, (\text{blast } s))$$

## Instances of Moore transducers

Make  $B = \mathbf{2}$  in  $\mathsf{T}X = X^A \times B$ .

The **carrier** (or state space) of the corresponding final coalgebra is

$$\mathbf{2}^{A^*} \cong \mathcal{P}A^*$$

and its **dynamics** is  $\langle \overline{\mathsf{nx}}_\omega, \mathsf{at}_\omega \rangle : (\mathcal{P}A^*)^A \times \mathbf{2} \longleftarrow \mathcal{P}A^*$   
 where

$$\begin{aligned} \mathsf{at}_\omega L &= \underline{\mathsf{nil}} \in L \\ \overline{\mathsf{nx}}_\omega L &= \lambda a. \{a \in A^* \mid (a : s) \in L\} \end{aligned}$$

**Exercise.** ... what are we talking about?

**Exercise.** Make  $A = \mathbf{1}$  in  $\mathsf{T}X = X^A \times B$ . What comes up?

# Mealy transducers

state space

 $U$ 

reactive transition function

 $\bar{a}c : (U \times B)^A \longleftarrow U$ 

Notation:

$$u \xrightarrow{a/b}_p u' \iff \bar{a}c u a = (u', b)$$

## Mealy transducers

The **behaviour** of  $p$  at a state  $u \in U$  is revealed by successive observations (experiments) triggered on input of different values  $a \in A$ :

$$\llbracket p \rrbracket u = [\pi_2(\bar{a}c \ u \ a_0), \pi_2(\bar{a}c (\pi_2(\bar{a}c \ u \ a_0)) \ a_1, \dots)]$$

$$\llbracket p \rrbracket u [a] = \pi_2(ac \ u \ a)$$

$$\llbracket p \rrbracket u (a : t) = \llbracket p \rrbracket (\pi_1(ac \ u \ a)) t$$

which means that

Mealy behaviours are elements of  $B^{A^+}$

# Mealy transducers

Mealy behaviours can alternatively be regarded as

causal functions from  $A^\omega$  to  $B^\omega$

A causal function  $f$  over streams is such that, for all  $s, t \in A^\omega$  and  $n \in \mathbb{N}$ ,

$$\langle \forall k : k \leq n : s k = t k \rangle \Rightarrow (f s n = f t n)$$

i.e, the  $n$ -th element of  $f s$  depends only on the first  $n$  elements of input stream  $s$

... upon which the final Mealy automata can be defined:



## The final Mealy transducer

Mealy behaviours organise themselves into a **final** Mealy automata over  $\Gamma = \{f : B^\omega \longleftarrow A^\omega \mid f \text{ is causal}\}$

$$\bar{\omega} : (\Gamma \times B)^A \longleftarrow \Gamma$$

where

$$\bar{\omega} f a = \langle \lambda s. \text{tl } f(a : s), \text{hd } f(a : r) \rangle$$

which means that

- the **next state** acts as  $f$  after  $a$  has been seen
- the **output**  $\text{hd } f(a : r)$  depends only on  $f$  and  $a$ ; therefore, the tail  $r$  of the input stream is irrelevant.

# Exercises

**Exercise.** Characterize Mealy morphisms. Draw the corresponding diagram and derive an equational definition.

**Exercise.** Prove that the Mealy transducer over  $\Gamma$  defined above is **final**.

- Moore and Mealy transducers
- Behavioural effects
- The general case: coalgebras
- Bisimulation and bisimilarity

## Non-determinism

Further **behavioural effects** can be introduced in the basic machines discussed so far by 'sophisticating' the corresponding signature functor. For example,

- **non-determinism** is captured by the **powerset** functor  $\mathcal{P}$

Automata	$\mathsf{TX} = B \times X$	$\mathsf{TX} = \mathcal{P}(B \times X)$
Moore transducer	$\mathsf{TX} = X^A \times B$	$\mathsf{TX} = \mathcal{P}(X)^A \times B$
Mealy transducer	$\mathsf{TX} = (X \times B)^A$	$\mathsf{TX} = \mathcal{P}(X \times B)^A$

## Example: non-deterministic automata

Coalgebras

$$p : \mathcal{P}(B \times U) \longleftarrow U$$

as relations

$$P : B \times U \longleftarrow U$$

through the relational transpose

$$p = \Lambda P \Leftrightarrow P = \in \cdot p$$

Notation:

$$(b, x') P x \Leftrightarrow (b, x') \in p x \Leftrightarrow x' P_b x \Leftrightarrow x \xrightarrow{p} x'$$

## Example: non-deterministic automata

**Th:** A morphism between two non-deterministic automata  $p$  and  $q$  satisfies

$$(\text{id} \times h) \cdot P = Q \cdot h \quad (1)$$

because

$$(\text{id} \times h) \cdot P = Q \cdot h$$

$$\Leftrightarrow \{ \text{relational transpose is an isomorphism} \}$$

$$\Lambda((\text{id} \times h) \cdot P) = \Lambda(Q \cdot h)$$

$$\Leftrightarrow \{ \Lambda(f \cdot R) = \mathcal{P}f \cdot \Lambda R \text{ and } \Lambda(R \cdot f) = \Lambda R \cdot f \text{ and definition} \}$$

$$\mathcal{P}(\text{id} \times h) \cdot \Lambda(\in \cdot p) = \Lambda(\in \cdot q) \cdot h$$

$$\Leftrightarrow \{ \Lambda(R \cdot f) = \Lambda R \cdot f \}$$

$$\mathcal{P}(\text{id} \times h) \cdot \Lambda \in \cdot p = \Lambda \in \cdot q \cdot h$$

$$\Leftrightarrow \{ \Lambda \in = \text{id} \}$$

$$\mathcal{P}(\text{id} \times h) \cdot p = q \cdot h$$

## Example: non-deterministic automata

Function  $p$ , relation  $p$  and the  $B$ -indexed family of relations  $\{P_b \mid b \in B\}$ , all represent the same structure. Therefore, a morphism between non-deterministic automata can be defined by the commutativity of any of the following diagrams (of functions or relations, respectively):

$$\begin{array}{ccc}
 U & \xrightarrow{h} & V \\
 p \downarrow & & \downarrow q \\
 \mathcal{P}(B \times U) & \xrightarrow{\mathcal{P}\text{id} \times h} & \mathcal{P}(B \times V)
 \end{array}$$

$$\begin{array}{ccc}
 U & \xrightarrow{h} & V \\
 P \downarrow & & \downarrow Q \\
 B \times U & \xrightarrow{\text{id} \times h} & B \times V
 \end{array}$$

$$\begin{array}{ccc}
 U & \xrightarrow{h} & V \\
 P_b \downarrow & & \downarrow Q_b \\
 U & \xrightarrow{h} & V
 \end{array}$$

## Example: non-deterministic automata

Therefore, equation (1) equivaless to

$$\begin{aligned} h \cdot P_b &\subseteq Q_b \cdot h \\ Q_b \cdot h &\subseteq h \cdot P_b \end{aligned}$$

entailing, respectively, **preservation** of  $p$ -transitions and **reflection** of  $q$ -transitions, i.e.,

$$\langle \forall u, u' : u, u' \in U : u \xrightarrow{p} u' \Rightarrow h u \xrightarrow{q} h u' \rangle \quad (2)$$

$$\langle \forall u, v : u \in U, v \in V : h u \xrightarrow{q} v \Rightarrow \langle \exists u' : u' \in U : u \xrightarrow{p} u' \wedge v = h u' \rangle \rangle \quad (3)$$

because



## Example: non-deterministic automata

Proof of (2):

$$h \cdot P_b \subseteq Q_b \cdot h$$

$$\Leftrightarrow \{ \text{shunting} \}$$

$$P_b \subseteq h^\circ \cdot Q_b \cdot h$$

$$\Leftrightarrow \{ \text{PF transform} \}$$

$$\langle \forall u, u' : u, u' \in U : u' P_b u \Rightarrow u'(h^\circ \cdot Q_b \cdot h)u \rangle$$

$$\Leftrightarrow \{ \text{"guardanapo" rule} \}$$

$$\langle \forall u, u' : u, u' \in U : u' P_b u \Rightarrow (h u') Q_b (h u) \rangle$$

$$\Leftrightarrow \{ P_b = (\overset{b}{\longrightarrow}_p)^\circ \}$$

$$\langle \forall u, u' : u, u' \in U : u \overset{b}{\longrightarrow}_p u' \Rightarrow h u \overset{b}{\longrightarrow}_q h u' \rangle$$

## Example: non-deterministic automata

Proof of (2):

$$Q_b \cdot h \subseteq h \cdot P_b$$

$$\Leftrightarrow \{ \cdot R \vdash / R \}$$

$$Q_b \subseteq (h \cdot P_b) / h$$

$$\Leftrightarrow \{ \text{definition of left division and PF transform} \}$$

$$\langle \forall v, v' : v, v' \in V : v' Q_b v \Rightarrow \langle \forall u : u \in U : v = h u \Rightarrow v' (h \cdot P_b) u \rangle \rangle$$

$$\Leftrightarrow \{ \text{quantifier trading (twice)} \}$$

$$\langle \forall v, v' : v, v' \in V \wedge v' Q_b v : \langle \forall u : u \in U \wedge v = h u : v' (h \cdot P_b) u \rangle \rangle$$

$$\Leftrightarrow \{ \text{quantifier nesting (twice, in opposite directions)} \}$$

$$\langle \forall u, v' : u \in U \wedge v' \in V : \langle \forall v : v \in V \wedge v = h u \wedge v' Q_b v : v' (h \cdot P_b) u \rangle \rangle$$

## Example: non-deterministic automata

$$\begin{aligned}
 & \langle \forall u, v' : u \in U \wedge v' \in V : \langle \forall v : v \in V \wedge v = hu \wedge v' Q_b v : v'(h \cdot P_b)u \rangle \rangle \\
 \Leftrightarrow & \quad \{ \text{quantifier trading} \} \\
 & \langle \forall u, v' : u \in U \wedge v' \in V : \langle \forall v : v = hu : (v \in V \wedge v' Q_b v) \Rightarrow v'(h \cdot P_b)u \rangle \rangle \\
 \Leftrightarrow & \quad \{ \text{quantifier one-point rule} \} \\
 & \langle \forall u, v' : u \in U \wedge v' \in V : (hu \in V \wedge v' Q_b(hu)) \Rightarrow v'(h \cdot P_b)u \rangle \\
 \Leftrightarrow & \quad \{ h \text{ type and definition of relational composition} \} \\
 & \langle \forall u, v' : u \in U \wedge v' \in V : v' Q_b v \Rightarrow \langle \exists u' : u' \in U : v' = hu \wedge u' P_b u \rangle \rangle \\
 \Leftrightarrow & \quad \{ P_b = (\xrightarrow{b}_p)^\circ \} \\
 & \langle \forall u, v' : u \in U \wedge v' \in V : v' Q_b v \Rightarrow \langle \exists u' : u' \in U : v' = hu \wedge u \xrightarrow{b}_p u' \rangle \rangle
 \end{aligned}$$

# Partiality

Automata	$TX = B \times X$	$TX = (B \times X) + \mathbf{1}$
Moore transducer	$TX = X^A \times B$	$TX = (X + \mathbf{1})^A \times B$
Mealy transducer	$TX = (X \times B)^A$	$TX = ((X \times B) + \mathbf{1})^A$

## In general: monads introduce behaviour

Automata	$TX = B \times X$	$TX = B(B \times X)$
Moore transducer	$TX = X^A \times B$	$TX = B(X)^A \times B$
Mealy transducer	$TX = (X \times B)^A$	$TX = B(X \times B)^A$

where  $B$  is a **strong monad** capturing a particular behavioural effect.

## Behaviour monads

- **Partiality:**  $B = \text{Id} + \mathbf{1}$
- **Non determinism:**  $B = \mathcal{P}$
- **Ordered non determinism:**  $B = \text{Id}^*$
- **Monoidal labelling:**  $B = \text{Id} \times M$ , with  $M$  a monoid.
- **'Metric' non determinism:**  $B = \text{Bag}_M$  based on  $\langle M, \oplus, \otimes \rangle$ , where  $\otimes$  distributes over  $\oplus$ , both defining Abelian monoids over  $M$ .

# Behaviour monads

$$\langle B, \eta, \mu \rangle$$

where

$$\eta : \text{Id} \longleftarrow B \quad (\text{to make a behavioural annotation})$$

$$\mu : BB \longleftarrow B \quad (\text{to flatten nested annotations})$$

being **strong** entails the presence of **right** and **left strength** for **context handling**:

$$B(\text{Id} \times -) : B \times - \longleftarrow B \times -$$

$$B(- \times \text{Id}) : - \times B \longleftarrow - \times B$$

## Behaviour monads

Furthermore, Kleisli compositions

$$\delta_{rI,J} = \tau_{rI,J} \bullet \tau_{I_{B},J} \quad \text{and} \quad \delta_{lI,J} = \tau_{lI,J} \bullet \tau_{rI,BJ}$$

map

$$BI \times BJ \text{ to } B(I \times J)$$

specifying a sort of sequential composition of B-computations

B is a **commutative** monad if  $\delta_{rI,J} = \delta_{lI,J}$

... plus a handful of **equational laws**



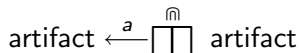
- Moore and Mealy transducers
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# Algebras

a tool box:



an assembly process:



- algebras describe assembly processes
- and abstract data types as (initial) algebras (term algebras)
- emphasis is on construction

# Coalgebras

a lens:



an observation structure:



- coalgebras describe observation structures (*i.e.*, transition systems)
- and abstract behaviour types as (final) coalgebras
- emphasis is on observation

## Typical lens

- 'opaque'

$$\bigcirc \smile \bigcirc \ U = \mathbf{1}$$

- black & white

$$\bigcirc \smile \bigcirc \ U = \mathbf{2}$$

- colouring

$$\bigcirc \smile \bigcirc \ U = \mathbf{0}$$

... in each case the colour set acts as a space classifier

## Typical lens

- partiality

$$\circ \smile \circ U = U + \mathbf{1}$$

- visible attributes

$$\circ \smile \circ U = \mathbf{0} \times U$$

- external stimulus

$$\circ \smile \circ U = U'$$

- non determinism

$$\circ \smile \circ U = \mathcal{P}U$$

## Question

Which lens shall we seek?

- The main criteria is to choose functors for which the **final coalgebra** does exist
- Such is the case of the all **polynomial** functors as well as **finite powerset** functor

# Coalgebras

A **coalgebra** for a **functor**  $T$  is any function from a set  $U$  (its **carrier**) to  $TU$ :

$$\alpha : TU \longleftarrow U$$

For any **functor**  $T$ , if its **space of behaviours** can be made a  $T$ -coalgebra itself

$$\omega_T : T\nu_T \longleftarrow \nu_T$$

this is the **final** coalgebra: from any other  $T$ -coalgebra  $p$  there is a unique morphism  $[[p]]$  making the following diagram to commute:

$$\begin{array}{ccc}
 \nu_T & \xrightarrow{\omega_T} & T\nu_T \\
 \uparrow [[p]] & & \uparrow T[[p]] \\
 U & \xrightarrow{p} & TU
 \end{array}$$

# Coalgebras

The universal property is equivalently captured by the following law:

$$k = \llbracket p \rrbracket \Leftrightarrow \omega_T \cdot k = T k \cdot p$$

- Existence  $\Leftrightarrow$  definition principle (co-recursion)
- Uniqueness  $\Leftrightarrow$  proof principle (co-induction)

From which:

cancellation  $\omega_T \cdot \llbracket p \rrbracket = T \llbracket p \rrbracket \cdot p$

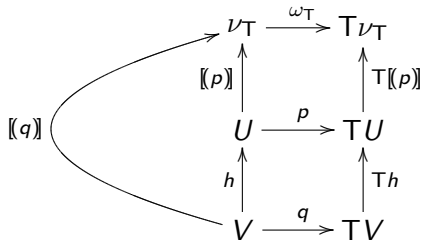
reflection  $\llbracket \omega_T \rrbracket = \text{id}_{\nu_T}$

fusion  $\llbracket p \rrbracket \cdot h = \llbracket q \rrbracket$  if  $p \cdot h = T h \cdot q$



# Coalgebras

Example: fusion law



# Coalgebras

Example: fusion law

$$[[p]] \cdot h = [[q]]$$

$$\Leftrightarrow \{ \text{universal law} \}$$

$$\omega \cdot [[p]] \cdot h = T([[p]] \cdot h) \cdot q$$

$$\Leftrightarrow \{ \text{cancellation law and } T \text{ functor} \}$$

$$T[[p]] \cdot p \cdot h = T[[p]] \cdot Th \cdot q$$

$$\Leftarrow \{ \text{function equality} \}$$

$$p \cdot h = Th \cdot q$$

# Coalgebras

From which one may generalise the fundamental result (proved above for the case of streams)

**Th:** morphisms preserve behaviour:  $\llbracket p \rrbracket = \llbracket q \rrbracket \cdot h$

# Proof by coinduction

Example:  $\text{map}_f$  and generic laws

$$\text{map}_{f \cdot g} = \text{map}_f \cdot \text{map}_g$$

defining  $\text{map}_f$  as follows:

$$\begin{array}{ccccc}
 B^\omega & \xrightarrow{\omega_B} & & B \times B^\omega & \\
 \uparrow \text{map}_f & & & \uparrow \text{id} \times \text{map}_f & \\
 A^\omega & \xrightarrow{\omega_A} & A \times A^\omega & \xrightarrow{f \times \text{id}} & A \times B^\omega
 \end{array}$$

# Proof by coinduction

$$\text{map}_{f \cdot g} = \text{map}_f \cdot \text{map}_g$$

$$\Leftrightarrow \quad \{ \text{map definition} \}$$

$$\llbracket ((f \cdot g) \times \text{id}) \cdot \omega \rrbracket = \llbracket (f \times \text{id}) \cdot \omega \rrbracket \cdot \text{map}_g$$

$$\Leftarrow \quad \{ \text{coinduction fusion law} \}$$

$$(f \times \text{id}) \cdot \omega \cdot \text{map}_g = (\text{id} \times \text{map}_g) \cdot ((f \cdot g) \times \text{id}) \cdot \omega$$

$$\Leftrightarrow \quad \{ \text{coinduction cancellation law} \}$$

$$(f \times \text{id}) \cdot (\text{id} \times \text{map}_g) \cdot (g \times \text{id}) \cdot \omega = (\text{id} \times \text{map}_g) \cdot ((f \cdot g) \times \text{id}) \cdot \omega$$

$$\Leftrightarrow \quad \{ \text{functoriality} \}$$

$$((f \cdot g) \times \text{map}_g) \cdot \omega = ((f \cdot g) \times \text{map}_g) \cdot \omega$$

# Proof by coinduction

but this is just an instance of a more **general** result:

$$\text{map}_T (g \cdot f) = \text{map}_T g \cdot \text{map}_T f$$

$$\begin{array}{ccccc}
 \nu_{T_B} & \xrightarrow{\omega_{T_B}} & T_B & \nu_{T_B} & \\
 \uparrow \text{map}_T f & & & \uparrow T_B \text{map}_T f & \\
 \nu_{T_A} & \xrightarrow{\omega_{T_A}} & T_A & \nu_{T_A} & \xrightarrow{T(f, \text{id}_{\text{map}_T A})} & T_B & \nu_{T_A}
 \end{array}$$

# Proof by coinduction

In general one also gets:

$$\begin{aligned}\text{map}_T \text{id}_A &= \text{id}_{\text{map}_{T A}} \\ \text{map}_T f \cdot \llbracket p \rrbracket_T &= \llbracket (T(f, \text{id}) \cdot p) \rrbracket_T\end{aligned}$$

- function map extends to a functor mapping a set  $A$  into the **behaviour space** of  $T$ -coalgebras **parametric** on  $A$
- the last equation acts as an **absorption** law for coinductive extension

# Proof by coinduction

Example: [Lambek's Lemma](#)

The dynamics of the final coalgebra is an isomorphism

proof idea:

- Assume the existence of an inverse  $\alpha_T$  to  $\omega_T : T\nu_T \leftarrow \nu_T$ .  
Then,  $\alpha_T \cdot \omega_T = \text{id}_{\nu_T}$  and  $\omega_T \cdot \alpha_T = \text{id}_{T\nu_T}$
- Take one of this requirements and use it to [conjecture](#) a definition for  $\alpha_T$  (or an [implementation](#) ...)  
Note the use of the [reflection](#) law to introduce an anamorphism in the calculation, instead of eliminating one
- Then check the validity of this conjecture by verifying with it the other requirement



# Proof by coinduction

$$\alpha_T \cdot \omega_T = \text{id}_{\nu_T}$$

$$\Leftrightarrow \quad \{ \text{reflection law} \}$$

$$\alpha_T \cdot \omega_T = \llbracket \omega_T \rrbracket$$

$$\Leftrightarrow \quad \{ \text{universal law} \}$$

$$\omega_T \cdot \alpha_T \cdot \omega_T = T(\alpha_T \cdot \omega_T) \cdot \omega_T$$

$$\Leftrightarrow \quad \{ \text{as a functor } T \text{ preserves composition} \}$$

$$\omega_T \cdot \alpha_T \cdot \omega_T = T\alpha_T \cdot T\omega_T \cdot \omega_T$$

$$\Leftrightarrow \quad \{ \text{cancel } \omega_T \text{ from both sides \& universal law} \}$$

$$\alpha_T = \llbracket T\omega_T \rrbracket$$

# Proof by coinduction

$$\begin{aligned} & \omega_T \cdot \alpha_T \\ = & \quad \{ \text{replace } \alpha_T \text{ by the derived conjecture} \} \\ & \omega_T \cdot \llbracket T\omega_T \rrbracket \\ = & \quad \{ \llbracket T\omega_T \rrbracket \text{ is a morphism} \} \\ & T\llbracket T\omega_T \rrbracket \cdot T\omega_T \\ = & \quad \{ \text{as a functor } T \text{ preserves composition} \} \\ & T(\llbracket T\omega_T \rrbracket \cdot \omega_T) \\ = & \quad \{ \text{just proved} \} \\ & T \text{id}_{\nu_T} \\ = & \quad \{ \text{as a functor } T \text{ preserves identities} \} \\ & \text{id}_{(T \text{id}_{\nu_T})} \end{aligned}$$

## Question

The **powerset** functor has not a final coalgebra. Why?

- Moore and Mealy transducers
- Behavioural effects
- The general case: coalgebras
- Bisimulation and bisimilarity

# Bisimulation

A **bisimulation** is a relation over the state spaces of two coalgebras,  $p$  and  $q$ , which is **closed** for their dynamics, i.e.

$$(x, y) \in R \Rightarrow (px, qy) \in TR$$

which is PF-transformed to

$$R \subseteq p^\circ \cdot (TR) \cdot q$$

Shunting on  $p^\circ$  yields

$$p \cdot R \subseteq (TR) \cdot q$$

**Note:** signature functor  $T$  is now extended to a **relator**.

# Example: $\mathbb{T}X = B \times X$

$$\begin{array}{ccc}
 U & \xleftarrow{R} & V \\
 \downarrow p & & \downarrow q \\
 B \times U & \xleftarrow{\text{id} \times R} & B \times V
 \end{array}$$

$$p \cdot R \subseteq (\text{id} \times R) \cdot q$$

$$\Leftrightarrow \{ \text{shunting} \}$$

$$R \subseteq p^\circ \cdot (\text{id} \times R) \cdot q$$

$$\Leftrightarrow \{ \text{introducing variables} \}$$

$$\langle \forall u, v : u \in U, v \in V : u R v \Rightarrow u (p^\circ \cdot (\text{id} \times R) \cdot q) v \rangle$$

$$\Leftrightarrow \{ \text{"guardanapo" rule} \}$$

$$\langle \forall u, v : u \in U, v \in V : u R v \Rightarrow p u (\text{id} \times R) q v \rangle$$

$$\Leftrightarrow \{ \text{product} \}$$

$$\langle \forall u, v : u \in U, v \in V : u R v \Rightarrow \pi_1(p u) = \pi_1(q v) \wedge \pi_2(p u) R \pi_2(q v) \rangle$$

## Example: $\mathsf{T}X = \mathcal{P}X$

- Note that every powerset coalgebra can be regarded as the **transpose** of a binary relation through isomorphism

$$f = \Lambda R \Leftrightarrow R = \in \cdot f \quad (4)$$

- The powerset relator is defined by

$$\mathcal{P}R = (\in \setminus (R \cdot \in)) \cap (\in \setminus (R^\circ \cdot \in))^\circ \quad (5)$$

where  $\cap$  denotes relation intersection and  $R \setminus S$  denotes relational division,

$$a(R \setminus S)c \Leftrightarrow \langle \forall b : b R a : b S c \rangle$$

a relational operator whose semantics is captured by universal property

$$R \cdot X \subseteq S \Leftrightarrow X \subseteq R \setminus S \quad (6)$$

Then,

# Example: $\top X = \mathcal{P}X$

$$p \cdot R \subseteq (\mathcal{P}R) \cdot q$$

$$\Leftrightarrow \{ \text{let } p, q := \Lambda P, \Lambda Q, \text{ unfold } \mathcal{P}R \text{ (5)} \}$$

$$(\Lambda P) \cdot R \subseteq (\epsilon \setminus (R \cdot \epsilon)) \cap (\epsilon \setminus (R^\circ \cdot \epsilon))^\circ \cdot (\Lambda Q)$$

$$\Leftrightarrow \{ \text{distribution (since } \Lambda Q \text{ is a function)} \}$$

$$(\Lambda P) \cdot R \subseteq (\epsilon \setminus (R \cdot \epsilon)) \cdot (\Lambda Q) \wedge (\Lambda P) \cdot R \subseteq (\epsilon \setminus (R^\circ \cdot \epsilon))^\circ \cdot (\Lambda Q)$$

$$\Leftrightarrow \{ \text{property } R \setminus (S \cdot f) = (R \setminus S) \cdot f ; \text{ converses} \}$$

$$(\Lambda P) \cdot R \subseteq \epsilon \setminus (R \cdot \epsilon \cdot \Lambda Q) \wedge R^\circ \cdot (\Lambda P)^\circ \subseteq (\Lambda Q)^\circ \cdot (\epsilon \setminus (R^\circ \cdot \epsilon))$$

$$\Leftrightarrow \{ \text{shunting and property above} \}$$

$$(\Lambda P) \cdot R \subseteq \epsilon \setminus (R \cdot \epsilon \cdot \Lambda Q) \wedge (\Lambda Q) \cdot R^\circ \subseteq \epsilon \setminus (R^\circ \cdot \epsilon \cdot \Lambda P)$$

$$\Leftrightarrow \{ \text{(6) twice} \}$$

$$\epsilon \cdot (\Lambda P) \cdot R \subseteq R \cdot \epsilon \cdot \Lambda Q \wedge \epsilon \cdot (\Lambda Q) \cdot R^\circ \subseteq R^\circ \cdot \epsilon \cdot \Lambda P$$

$$\Leftrightarrow \{ \text{cancellation } \epsilon \cdot (\Lambda R) = R \text{ four times} \}$$

$$P \cdot R \subseteq R \cdot Q \wedge Q \cdot R^\circ \subseteq R^\circ \cdot P$$



## Example: $\mathsf{TX} = \mathcal{P}X$

The two conjuncts state that  $R$  and its converse are **simulations** between state transition relations  $P$  and  $Q$ , which corresponds to the Park-Milner definition:

- a **bisimulation** is a **simulation** such that its converse is also a simulation
- a **simulation** between relations  $P$  and  $Q$  is a relation  $R$  such that, if  $(p, q) \in R$ , then for all  $p'$  such that  $(p', p) \in P$ , then there is a  $q'$  such that  $(p', q') \in R$  and  $(q', q) \in Q$

because

# Example: $\top X = \mathcal{P}X$

$$P \cdot R \subseteq R \cdot Q$$

$$\Leftrightarrow \{ S \vdash R \setminus \}$$

$$R \subseteq P \setminus (R \cdot Q)$$

$$\Leftrightarrow \{ \text{PF transform} \}$$

$$\langle \forall u, v : u \in U \wedge v \in V : uRv \Rightarrow u(P \setminus (R \cdot Q))v \rangle$$

$$\Leftrightarrow \{ \text{definition of right division} \}$$

$$\langle \forall u, v : u \in U \wedge v \in V : uRv \Rightarrow \langle \forall u' : u' \in U \wedge u'Pu : u'(R \cdot Q)v \rangle \rangle$$

$$\Leftrightarrow \{ \text{quantifier trading, nesting and trading again} \}$$

$$\langle \forall u, u', v : u, u' \in U \wedge v \in V : uRv \wedge u'Pu \Rightarrow u'(R \cdot Q)v \rangle$$

$$\Leftrightarrow \{ \text{relational composition} \}$$

$$\langle \forall u, u', v : u, u' \in U \wedge v \in V : uRv \wedge u'Pu \Rightarrow \langle \exists v' : v' \in V : u'Rv' \wedge v'Qv \rangle \rangle$$

Example:  $\mathsf{TX} = \mathcal{P}X$ 

and

$$Q \cdot R^\circ \subseteq R^\circ \cdot P$$

$$\Leftrightarrow \{ \text{by a similar argument} \}$$

$$\langle \forall u, v, v' : u \in U \wedge v, v' \in V : uRv \wedge v'Qv \Rightarrow \langle \exists u' : u' \in U : u'Rv' \wedge u'Pu \rangle \rangle$$

which jointly states that both  $R$  and  $R^\circ$  are **simulations**

## Example: $\mathsf{T}X = \mathcal{P}(B \times X)$

This result scales easily for  $\mathsf{T}X = \mathcal{P}(B \times X)$  coalgebras, where it is usually expressed in terms of  $B$ -indexed families of transition relations:

- $R$  is a **simulation** between coalgebras  $p$  and  $q$  as before iff, for all  $b \in B$ ,  $u, u' \in U$  and  $v \in V$ ,

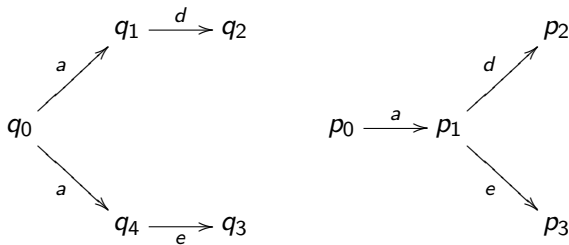
$$uRv \wedge u' \xrightarrow{b}_p u \Rightarrow \langle \exists v' : v' \in V : u'Rv' \wedge v' \xrightarrow{b}_q v \rangle$$

- $R$  is a **bisimulation** iff both  $R$  and  $R^\circ$  are simulations

which leads to the usual definition of bisimulation in **process algebra** (cf, [Milner, 80])

# Example: $\mathbb{T}X = \mathcal{P}(B \times X)$

**Example** states  $q_0$  and  $p_0$  in coalgebras

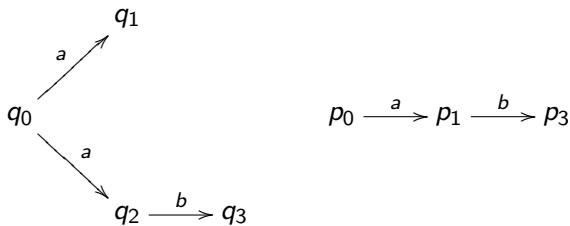


are related by simulation

$$\{\langle q_0, p_0 \rangle, \langle q_1, p_1 \rangle, \langle q_4, p_1 \rangle, \langle q_2, p_2 \rangle, \langle q_3, p_3 \rangle\}$$

## Example: $\mathsf{T}X = \mathcal{P}(B \times X)$

Note, however, that, although there are simulations  $R$  and  $S$  containing pairs  $(q_0, p_0)$  and  $(p_0, q_0)$  in



the two states are **not** bisimilar.

**Exercise.** Compute relations  $R$  and  $S$  above and explain why  $q_0$  and  $p_0$  are not bisimilar.

**Exercise.** Compute the definition of bisimulation for the signature functor of a Moore and a Mealy transducer, respectively.

## Bisimulation as a Reynolds arrow

The definition of bisimulation brings to mind the “Reynolds arrow combinator”-pattern:

$$f(R \leftarrow S)g \Leftrightarrow f \cdot S \subseteq R \cdot g$$

leading to

$$R \text{ is a bisimulation} \Leftrightarrow p(TR \leftarrow R)q \quad (7)$$

**Note:** Reynolds' arrow combinator is a relation on functions useful in expressing properties of functions, notably the “free theorem” of a polymorphic function  $f$ :

$$GA \xleftarrow{f} TA \text{ polymorphic} \Leftrightarrow \langle \forall R :: f(GR \leftarrow TR)f \rangle$$

## Reynolds-arrow laws

$$id \leftarrow id = id \quad (8)$$

$$(R \leftarrow S)^\circ = R^\circ \leftarrow S^\circ \quad (9)$$

$$(R \leftarrow V) \cdot (S \leftarrow U) \subseteq (R \cdot S) \leftarrow (V \cdot U) \quad (10)$$

$$R \leftarrow S \subseteq V \leftarrow U \Leftrightarrow R \subseteq V \wedge U \subseteq S \quad (11)$$

$$k(f \leftarrow g)h \Leftrightarrow k \cdot g = f \cdot h \quad (12)$$

$$(f \leftarrow g^\circ)h = f \cdot h \cdot g \quad (13)$$



## Reynolds-arrow laws

Property (11) entails **monotonicity** on the left hand side, thus,

$$S \leftarrow R \subseteq (S \cup V) \leftarrow R \quad (14)$$

$$\top \leftarrow S = \top \quad (15)$$

and **anti-monotonicity** on the right hand side:

$$R \leftarrow \perp = \top \quad (16)$$

as well as two **distributive** properties:

$$S \leftarrow (R_1 \cup R_2) = (S \leftarrow R_1) \cap (S \leftarrow R_2) \quad (17)$$

$$(S_1 \cap S_2) \leftarrow R = (S_1 \leftarrow R) \cap (S_2 \leftarrow R) \quad (18)$$

# Bisimulation: Properties

- The converse of a bisimulation is also a bisimulation

$$\begin{array}{lcl}
 R \text{ is a bisimulation} & & \\
 \Leftrightarrow \{ (7) \} & & \\
 p(TR \leftarrow R)q & \Leftrightarrow & p((TR)^\circ \leftarrow R^\circ)q \\
 \Leftrightarrow \{ \text{converse} \} & & \{ \text{relator } T \} \\
 q(TR \leftarrow R)^\circ p & \Leftrightarrow & q(T(R^\circ) \leftarrow R^\circ)p \\
 \Leftrightarrow \{ (9) \} & & \{ (7) \} \\
 & & R^\circ \text{ is a bisimulation}
 \end{array}$$

- Composition of bisimulations is a bisimulation

by property (10), as can be checked by parsing its pointwise version: for all suitably typed coalgebras  $p$  and  $q$ ,

$$\langle \exists z :: p(TS \leftarrow S)z \wedge z(TR \leftarrow R)q \rangle \Rightarrow p(T(S \cdot R) \leftarrow (S \cdot R))q$$

## Bisimulation: Properties

- the identity relation  $id$  is a bisimulation

$$\begin{aligned}
 & p(\top id \leftarrow id)q \\
 \Leftrightarrow & \quad \{ \text{relator } \top \} \\
 & p(id \leftarrow id)q \\
 \Leftrightarrow & \quad \{ (8) \} \\
 & p = q
 \end{aligned}$$

- the empty relation  $\perp$  is a bisimulation

$$\begin{aligned}
 & \langle \forall p, q :: p(\top \perp \leftarrow \perp)q \rangle \\
 \Leftrightarrow & \quad \{ \text{PF-transform} \} \\
 & \langle \forall p, q :: p(\top \perp \leftarrow \perp)q \Leftrightarrow \text{TRUE} \rangle \\
 \Leftrightarrow & \quad \{ \text{PF-transform} \} \\
 & \top \perp \leftarrow \perp = \top \\
 \Leftrightarrow & \quad \{ (16) \} \\
 & \text{TRUE}
 \end{aligned}$$

## Bisimulation: Properties

- bisimulations are closed under union

$$p(TR_1 \leftarrow R_1)q \wedge p(TR_2 \leftarrow R_2)q \Rightarrow p(T(R_1 \cup R_2) \leftarrow (R_1 \cup R_2))q \quad (19)$$

stems from properties (11,14) and (17). First we PF-transform (19) to

$$(TR_1 \leftarrow R_1) \cap (TR_2 \leftarrow R_2) \subseteq T(R_1 \cup R_2) \leftarrow (R_1 \cup R_2)$$

and reason:

$$\begin{aligned} & (TR_1 \leftarrow R_1) \cap (TR_2 \leftarrow R_2) \\ \subseteq & \quad \{ (14) \text{ (twice)} ; \text{monotonicity of } \cap \} \\ & ((TR_1 \cup TR_2) \leftarrow R_1) \cap ((TR_1 \cup TR_2) \leftarrow R_2) \\ = & \quad \{ (17) \} \\ & (TR_1 \cup TR_2) \leftarrow (R_1 \cup R_2) \\ \subseteq & \quad \{ F \text{ is monotonic; (11)} \} \\ & T(R_1 \cup R_2) \leftarrow (R_1 \cup R_2) \end{aligned}$$

## Bisimulation: Properties

- any coalgebra morphism is a bisimulation (why?)
- **behavioural** equivalence is a bisimulation.

$$\rho(T(\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket)) \leftarrow \llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket)q$$

$$\Leftrightarrow \quad \{ \text{definition} \}$$

$$\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket \subseteq \rho^\circ \cdot T(\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket) \cdot q$$

$$\Leftrightarrow \quad \{ \text{relators} \}$$

$$\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket \subseteq \rho^\circ \cdot T\llbracket p \rrbracket^\circ \cdot T\llbracket q \rrbracket \cdot q$$

$$\Leftrightarrow \quad \{ \text{converse} \}$$

$$\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket \subseteq (T\llbracket p \rrbracket \cdot \rho)^\circ \cdot T\llbracket q \rrbracket \cdot q$$

$$\Leftrightarrow \quad \{ \text{universal property of coinductive extension} \}$$

$$\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket \subseteq (\omega \cdot \llbracket p \rrbracket)^\circ \cdot \omega \cdot \llbracket q \rrbracket$$

$$\Leftrightarrow \quad \{ \text{converse} \}$$

$$\llbracket p \rrbracket^\circ \cdot \llbracket q \rrbracket \subseteq \llbracket p \rrbracket^\circ \cdot \omega^\circ \cdot \omega \cdot \llbracket q \rrbracket$$

$$\Leftrightarrow \quad \{ \text{Lambek (final coalgebra is an isomorphism)} \}$$

TRUE

# Bisimilarity

**Def.** Two states,  $u$  and  $v$ , from the same or different coalgebras, are **bisimilar** iff they are related by a bisimulation, i.e.,

$$u \sim v \Leftrightarrow \langle \exists R : R \subseteq U \times V : uRv \wedge R \text{ is a bisimulation} \rangle$$

**Th.** Bisimilarity is an **equivalence** relation.

**Th.** The set of all bisimulations, defined between two coalgebras, over state spaces  $U$  and  $V$ , is a **complete lattice**, ordered by  $\subseteq$ , whose top is the restriction of  $\sim$  to  $U \times V$ .

**Exercise.** Prove both theorems.