

An Introduction to Relational Formal Modelling

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Functions are not enough

Partiality (in Haskell):

```
Mpi> (split head tail)(tail [1])  
Program error: {head []}  
  
Mpi> 2/0  
Program error: {primDivDouble 2.0 0.0}
```

Functions such as `tail`, `/`, `head` (and many others!) are **partial**

Functions are not enough

VDM-SL notation:

```
vdm> p t1 [ ]  
l. 1, c. 4:  
Run-Time Error 77: The sequence was empty  
vdm> p 2/0  
l. 1, c. 3:  
Run-Time Error 76: Division with zero  
vdm>
```

Functions such as `t1`, `/`, `hd` (and many others!) are **partial**

Functions are not enough

Another example is

```
gets : set of nat -> nat * set of nat
gets(s) == let a in set s
           in mk_( a , s \ {a} ) ;
```

which is not only partial

```
vdm> p gets({})
/home/jno/work/x.vdm, l. 4, c. 25:
Run-Time Error 53: The binding environment was empty
vdm>
```

but also **non-deterministic**:

$$gets\{a, b\} = \langle a, \{b\} \rangle \vee gets\{a, b\} = \langle b, \{a\} \rangle$$

Specifications as “properties”

- Specification of **square root**:

$$(sqrt\ x)^2 = x$$

that is

$$sq \cdot sqrt = id$$

(= *sqrt* has left inverse *sq*)

- Specification of *sort*:

$$l' = sort\ l \iff (IsOrdered\ l') \wedge IsPermutation(l', l)$$

Relational approach

Need to model

- total/**partial** functions
- **non-determinism**
- **properties**, datatype **invariants** and loop-invariants
- orders and **inductive** structures
- vagueness or **under-specification**...

⇒ adoption of **binary relations**, which have a long tradition in the...

Pre/post specification style

VDM-SL notation:

```
gets(s: set of nat) r: (nat * set of nat)
pre  card s > 0
post let mk_(n,s') = r
     in n in set s and s' = s \ {n} ;
```

Testing this in the interpreter:

```
>> p post_gets( {1,2} , mk_(2, {1}) )
true
>> p post_gets( {1,2} , mk_(2 , {1,2}) )
false
```

Pre/post specification style

Tolerance to incomplete design:

```
Sort(inp: seq of int) out: seq of int
post IsPermutation(out,inp) and IsOrdered(out);
```

```
IsPermutation: seq of int * seq of int -> bool
IsPermutation(l1,l2) == is not yet specified;
```

```
IsOrdered: seq of int -> bool
IsOrdered(l) == is not yet specified;
```

Of course ...

```
>> p post_Sort( [], [] )
/Users/jno/work/x.vdm, 1. 10, c. 3:
Run-Time Error 233: Cannot evaluate 'not yet specified' functions
```

Pre/post specification layout

VDM-SL generic layout is

```
Spec(inp: A) out: B
pre Precond(inp)
post Postcond(out,inp);
```

where

```
Precond: A -> bool
Precond(a) == .....

Postcond: B * A -> bool
Postcond(b,a) == .....
```

This clearly leads to a **binary relation** approach, since $Postcond \in 2^{B \times A}$ is equivalent to $Postcond \subseteq B \times A$

From predicates to relations

- **Predicate logic** connectives such as eg. \wedge are “overloaded” operators
- They can be regarded as models of a more structured logic — that of **binary relations**
- **Functions** generalize to **binary relations** in a very natural way.
- Predicates, sets, functions and relations can **all** be combined in a **single relational calculus**
- Usual infix notation, e.g. $a < b$, can be generalized to any relation R , e.g. $a R b$

Arrows “as” binary relations

- We will “**type**” relations in a way consistent with functions:
 $B \xleftarrow{R} A$ wherever $b R a$ is a statement involving $b \in B$ and $a \in A$.
- From now on, an arrow

$$B \xleftarrow{R} A$$

means a **binary relation** from A (source) to B (target) and write $b R a$ to denote that pair $\langle b, a \rangle$ is in R , eg.

$$\begin{array}{ccccc} 1 & & \leq & & 2 \\ \text{John} & & \text{IsFatherOf} & & \text{Mary} \\ 3 & & = (1+) & & 2 \end{array}$$

Functions are relations

- Regard function $f : A \longrightarrow B$ as a binary relation relating a and b iff $b = f a$. So, $b f a$ literally means $b = f a$.
- Therefore, generalize

$$\boxed{\begin{array}{c} f \\ B \xleftarrow{\quad} A \\ b = f a \end{array}} \quad \text{to} \quad \boxed{\begin{array}{c} R \\ B \xleftarrow{\quad} A \\ b R a \end{array}}$$

- Extend **function composition** $f \cdot g$ to $R \cdot S$ in the obvious way

$$b(R \cdot S)c \equiv \langle \exists a : b R a \wedge a S c \rangle$$

Check generalization

Back to functions,

$$\begin{aligned} b(f \cdot g)c &\equiv \langle \exists a : : b f a \wedge a g c \rangle \\ &\equiv \{ \textcolor{brown}{b f a \text{ means } b = f a} \} \\ &\equiv \langle \exists a : : b = f a \wedge a = g c \rangle \\ &\equiv \{ \text{cancel } \exists \text{ by substitution of } a \text{ by } g c \} \\ &\equiv b = f(g c) \end{aligned}$$

we recover what we had before.

Notation convention: function (relation) identifiers are always lowercase (uppercase) letters.

Relations generalize functions

- **Equality** on functions,

$$f = g \equiv \langle \forall a : a \in A : f a =_B g a \rangle$$

generalizes to **ordering** relations:

$$R \subseteq S \equiv \langle \forall b, a : : b R a \Rightarrow b S a \rangle$$

- $R \subseteq S$ means that R is either **less defined** or **more deterministic** than S .
- As we shall see later on:

$$f \subseteq g \equiv f = g \equiv f \supseteq g$$

Converses

Every relation $B \xleftarrow{R} A$ has a **converse** $A \xleftarrow{R^\circ} B$ which is such that, for all a, b ,

$$a(R^\circ)b \equiv b R a$$

Function converses f°, g° etc. always exist (as **relations**) enjoying the following (very useful!) property:

$$(f \ b)R(g \ a) \equiv b(f^\circ \cdot R \cdot g)a$$

Let us see examples of its use

From pointwise to PF-notation

Function

$$\begin{aligned} fac \ 0 &= 1 \\ fac(n+1) &= (n+1) * fac \ n \end{aligned}$$

in pointfree notation:

$$fac \cdot [\underline{0}, suc] = * \cdot [suc, fac]$$

Property

$$\langle \forall n, m : : n \leq m \Rightarrow fac \ n \leq fac \ m \rangle$$

(\equiv fac is monotonic) in PF-notation?

Properties in PF style

fac is monotonic:

$$\begin{aligned} &\langle \forall n, m : : n \leq m \Rightarrow fac \ n \leq fac \ m \rangle \\ \equiv &\quad \{ \text{rule } (f \ b)R(g \ a) \equiv b(f^\circ \cdot R \cdot g)a \} \\ &\langle \forall n, m : : n \leq m \Rightarrow n(fac^\circ \cdot \leq \cdot fac)m \rangle \\ \equiv &\quad \{ \text{ordering on relations (ie. dropping } n \text{ and } m) \} \\ &\leq \subseteq \quad fac^\circ \cdot \leq \cdot fac \end{aligned}$$

From PF to pointwise notation

Role of id :

- Recall that every function f is a relation such that $b f a$ means $b = f a$.
- Therefore, function id is the **equality** relation: $b id a$ means $b = a$.

For example, what does

$$f^\circ \cdot f \subseteq id$$

mean? Let us introduce points and calculate:

From PF to pointwise notation

$$\begin{aligned}
 & f^\circ \cdot f \subseteq id \\
 \equiv & \quad \{ \text{relational inclusion} \} \\
 & \langle \forall y, x : : y(f^\circ \cdot f)x \Rightarrow y(id)x \rangle \\
 \equiv & \quad \{ \text{introduce } id \text{ in antecedent ; } id \text{ is equality relation} \} \\
 & \langle \forall y, x : : y(f^\circ \cdot id \cdot f)x \Rightarrow y = x \rangle \\
 \equiv & \quad \{ \text{rule } (f b)R(g a) \equiv b(f^\circ \cdot R \cdot g)a \} \\
 & \langle \forall y, x : : (f y) = (f x) \Rightarrow y = x \rangle \\
 \equiv & \quad \{ \text{recall definition from discrete maths} \} \\
 & f \text{ is injective}
 \end{aligned}$$

Why id (really) matters

Terminology:

- Say R is reflexive iff $id \subseteq R$
pointwise: $\langle \forall a :: a R a \rangle$ (check as homework);
- Say R is coreflexive iff $R \subseteq id$
pointwise: $\langle \forall a :: b R a \Rightarrow b = a \rangle$ (check as homework).

Define, for $B \xleftarrow{R} A$:

Kernel of R	Image of R
$\ker R$	$\text{img } R$
$A \xleftarrow{R} A$	$B \xleftarrow{R} B$
$\ker R \stackrel{\text{def}}{=} R^\circ \cdot R$	$\text{img } R \stackrel{\text{def}}{=} R \cdot R^\circ$

Example: kernel of a function

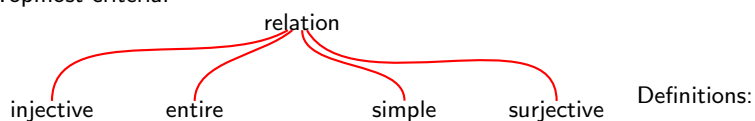
Let us go pointwise:

$$\begin{aligned}
 & a'(\ker f)a \\
 \equiv & \{ \text{substitution} \} \\
 & a'(f^\circ \cdot f)a \\
 \equiv & \{ \text{rule } (f b)R(g a) \equiv b(f^\circ \cdot R \cdot g)a \} \\
 & (f a') = (f a)
 \end{aligned}$$

In words: $a'(\ker f)a$ means a' and a "have the same f "

Binary relation taxonomy

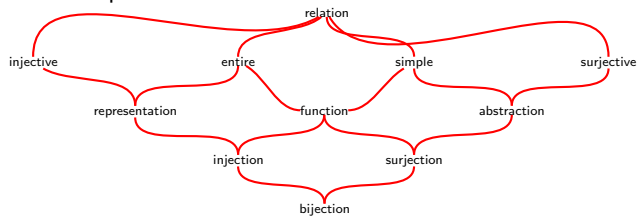
Topmost criteria:



	Reflexive	Coreflexive
$\ker R$	entire R	injective R
$\text{img } R$	surjective R	simple R

Binary relation taxonomy

The whole picture:



In general, "larger than entire means entire" and "smaller than simple means simple"

Functions in one slide

A function f is a binary relation such that

Pointwise	Pointfree
"Left" Uniqueness	
$b f a \wedge b' f a \Rightarrow b = b'$	$\text{img } f \subseteq \text{id}$
Leibniz principle	
$a = a' \Rightarrow f a = f a'$	$\text{id} \subseteq \ker f$

(f is simple)

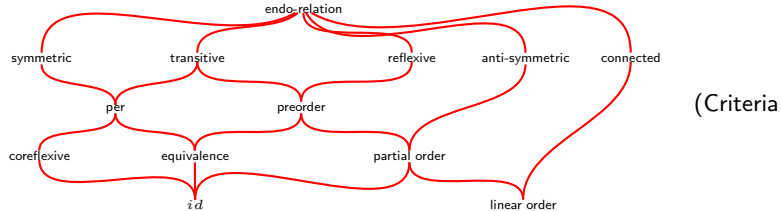
(f is entire)

As we shall see, these two principles are captured by

$$\begin{aligned}
 f \cdot R \subseteq S &\equiv R \subseteq f^\circ \cdot S \\
 R \cdot f^\circ \subseteq S &\equiv R \subseteq S \cdot f
 \end{aligned}$$

Relation taxonomy — orders

Orders are endo-relations $A \xleftarrow{R} A$ classified as



definitions: next slide)

Orders and their taxonomy

Besides

reflexive: iff $id_A \subseteq R$
 coreflexive: iff $R \subseteq id_A$

an order (or endo-relation) $A \xleftarrow{R} A$ can be

transitive: iff $R \cdot R \subseteq R$
 anti-symmetric: iff $R \cap R^\circ \subseteq id_A$
 symmetric: iff $R \subseteq R^\circ (\equiv R = R^\circ)$
 connected: iff $R \cup R^\circ = \top$

where $A \xleftarrow{\top} A$ is the largest relation of its type.

Orders and their taxonomy

Therefore:

- **Preorders** are reflexive and transitive orders.
 Example: $y \text{ IsAtMostAsOldAs } x$
- **Partial** orders are anti-symmetric preorders
 Example: $y \subseteq x$
- **Linear** orders are connected partial orders
 Example: $y \leq x$
- **Equivalences** are symmetric preorders
 Example: $y \text{ IsPermutation } x$
- **Pers** are partial equivalences
 Example: $y \text{ IsBrotherOf } x$

Predicates & sets made PF

Strategy: identify every

- binary **predicate** $B \times A \xrightarrow{p} 2$ with binary relation

$$B \xleftarrow{[p]} A$$

such that $b[p]a \equiv p(b, a)$.

- unary **predicate** $A \xrightarrow{q} 2$ with coreflexive $A \xleftarrow{[q]} A$ such that $a[q]a' \equiv a = a' \wedge (q a)$.
- **set** $S \subseteq A$ with $[\lambda a. a \in S]$. So,

$$b[S]a \equiv b = a \wedge a \in S$$

Last but not least

- Intersection (meet):

$$b(R \cap S)a \equiv (b R a) \wedge (b S a)$$

- Union (join):

$$b(R \cup S)a \equiv (b R a) \vee (b S a)$$

- Bottom relation $B \xleftarrow{\perp} A$ — the smallest relation of its type:
 $\langle \forall b, a : b \perp a \equiv \text{false} \rangle$

- Top relation $B \xleftarrow{\top} A$ — the largest relation of its type:
 $\langle \forall b, a : b \top a \equiv \text{true} \rangle$

Of course, for all $B \xleftarrow{R} A$ one has $\perp \subseteq R \subseteq \top$

Last but not least

Coreflexives:

- Example: $\lceil \text{fac } n \leq 1 \rceil = \begin{array}{c} 0 \xleftarrow{\quad} 0 \\ 1 \xleftarrow{\quad} 1 \end{array}$

- Useful properties:

- Coreflexives are **symmetric** and **transitive**:

$$R = R^\circ = R \cdot R = R \cap id$$

- **Meet** of two coreflexives is composition:

$$R \cap S = R \cdot S$$

Last but not least

Domain:

$$\delta R = \ker R \cap id$$

Range:

$$\rho R = \text{img } R \cap id$$

Facts:

$$\begin{aligned} \delta R &= \rho R^\circ \\ \delta(R \cdot S) &= \delta(\delta R \cdot S) \quad , \quad \rho(R \cdot S) = \rho(R \cdot \rho S) \\ R &= R \cdot (\delta R) \quad , \quad R = (\rho R) \cdot R \end{aligned}$$

PF-meaning of VDM-SL specs

Given $\text{bool} \xleftarrow{\text{prec}} A$ and $\text{bool} \xleftarrow{\text{postc}} B \times A$, VDM spec

```
Spec(a: A) r: B
pre prec(a)
post postc(r,a);
```

means binary relation $B \xleftarrow{\text{Spec}} A$ defined by

$$\text{Spec} \stackrel{\text{def}}{=} [\text{postc}] \cdot [\text{prec}]$$

where $A \xleftarrow{[\text{prec}]} A$ is coreflexive and $B \xleftarrow{[\text{postc}]} A$ is of the same type as Spec .

Example: VDM-SL *Sqrt* spec

```
Sqrt(x: real) r: real
pre true
post sq(r) = x ;
```

means

$$\begin{aligned} Sqrt &\stackrel{\text{def}}{=} [sq\ r = x] \cdot id \\ \equiv &\quad \{ (f\ b)R(g\ a) \equiv b(f^\circ \cdot R \cdot g)a ; \text{natural-id} \} \\ Sqrt &\stackrel{\text{def}}{=} [r(sq^\circ \cdot id \cdot id)x] \\ \equiv &\quad \{ \text{natural-id (twice)} \} \\ Sqrt &\stackrel{\text{def}}{=} sq^\circ \end{aligned}$$

Pre/post-consistency

In

$$Spec \stackrel{\text{def}}{=} [postc] \cdot [prec]$$

it is common practice to ensure that the pre-condition be at most the domain of the post-condition:

$$[prec] \subseteq \delta [postc]$$

Example:

```
Inv(x: real) r: real
pre x > 0
post r = 1 / x ;
```

Pre/post-consistency

By rule $(f\ b)R(g\ a) \equiv b(f^\circ \cdot R \cdot g)a$ we easily obtain $[Inv] = (1/)$, which is undefined for $x = 0$. Consistency is ensured since $x > 0 \Rightarrow x \neq 0$.

Counter example:

```
Get(x: seq of int) r: int
pre len x = card elems x
post r = hd x ;
```

(no repeats property does not ensure list non-emptiness).

Invariants

Note that the same problem would be found in

```
Get(x: NoRepeatsList) r: int
post r = hd x ;
```

where datatype *NoRepeatsList* is defined with an invariant

```
types
NoRepeatsList = seq of int
inv x == len x = card elems x;
```

Data type invariants

Arising from natural phenomena:

```
dateOk : nat1 * nat1 * nat1 -> bool
dateOk(y,m,d) ==
  if m in set {1,3,5,7,8,10,12} then
    d <= 31 and
      (not (y=1582 and m=10) or (d<5) or (14<d))
  else if m in set {4,6,9,11} then d <= 30
  else if m=2 and leapYear(y) then d <= 29
  else if m=2 and not leapYear(y) then d <= 28
  else false;
```

where

Data type invariants

```
leapYear : nat1 -> bool
leapYear(y) ==
  0 = rem(y, if 1700 <= y and rem(y,100)=0
    then 400 else 4);
```

Business rules are also invariants, cf eg.

```
Account :: personal: Data
  avgbalance: real
  currentbalance: real
  inv a == a.currentbalance > - a.avgbalance/3 ;
```

Invariants can thus be as arbitrary as human inventiveness...

Invariants entail proof obligations

Let

- ϕ be the invariant associated to datatype A and ψ be the one associated to datatype B .
- f be a function of type $B \xleftarrow{f} A$

Clearly,

- **Guarantee** — it is the onus of the designer of f to **ensure** that every output of f will satisfy ψ
- **Rely** — designer of f can rely on ϕ holding for every input

Formally:

$$\langle \forall a : : \phi a \Rightarrow \psi(f a) \rangle$$

Data type invariants

PF-transform of **proof obligation**, where $\Phi = \lceil \phi \rceil$ and $\Psi = \lceil \psi \rceil$:

$$\begin{aligned}
 & f \cdot \Phi \subseteq \Psi \cdot f \\
 \text{cf. } & f \cdot \Phi \subseteq \Psi \cdot f \\
 & \equiv \{ \text{shunting rule} \} \\
 & \Phi \subseteq f^\circ \cdot \Psi \cdot f \\
 & \equiv \{ \text{introduce variables} \} \\
 & \langle \forall a, a' : : a \Phi a' \Rightarrow (f a) \Psi (f a') \rangle \\
 & \equiv \{ \text{coreflexives } (a = a') \} \\
 & \langle \forall a : : a \Phi a \Rightarrow (f a) \Psi (f a) \rangle
 \end{aligned}$$

Data type invariants

$$\begin{aligned}
 & \equiv \{ \text{back to predicates} \} \\
 & \langle \forall a : : \phi a \Rightarrow \psi(f a) \rangle
 \end{aligned}$$

Another way to write it:

$$\begin{array}{ll}
 f \cdot \Phi \subseteq \Psi \cdot f & \\
 \equiv \{ \text{shunting} \} & \equiv \{ \text{image definition} \} \\
 f \cdot \Phi \cdot f^\circ \subseteq \Psi & \text{img}(f \cdot \Phi) \subseteq \Psi \\
 \equiv \{ \text{coreflexives} \} & \equiv \{ f \cdot \Phi \text{ is simple} \} \\
 f \cdot \Phi \cdot \Phi^\circ \cdot f^\circ \subseteq \Psi & \rho(f \cdot \Phi) \subseteq \Psi
 \end{array}$$

Data type invariants

In general — let $Spec = (pre, post)$ be a pre/post-condition pair in VDM-SL:

```
Spec(a: A) r: A
pre ... a ...
post ... r ... a ... ;
```

Let inv be an invariant property associated with type A :

```
A = .....
inv a == ... ;
```

Data type invariants

Invariant preservation proof obligation:

$$\langle \forall r, a :: post(r, a) \wedge pre\ a \wedge inv\ a \Rightarrow inv\ r \rangle \quad (1)$$

which PF-transforms to

$$\rho(Spec \cdot Inv) \subseteq Inv \quad (2)$$

for $Spec = [post] \cdot [pre]$ and $Inv = [inv]$.

- Question: How do we reason about invariants?

We first need to know how to calculate with PF-expressions.

Basic Relation Calculus

Monotonicity: All operations are monotonic, eg.

$$\frac{R \subseteq S \quad T \subseteq U}{(R \cdot T) \subseteq (S \cdot U)} \quad \frac{R \subseteq S}{R^\circ \subseteq S^\circ}$$

Composition:

- Composition is associative: $R \cdot (S \cdot T) = (R \cdot S) \cdot T$
- Identity: $R \cdot id = id \cdot R = R$
- Empty relation: $R \cdot \perp = \perp \cdot R = \perp$

Basic Relation Calculus

Relational Equality:

- **Pointwise** equality:

$$R = S \equiv \langle \forall b, a : : bRa \equiv bSa \rangle$$

- **Pointfree** equality:

- **Cyclic inclusion** (“ping-pong”) rule:

$$R = S \equiv R \subseteq S \wedge S \subseteq R$$

- **Indirect equality** rules:

$$\begin{aligned} R = S &\equiv \langle \forall X : : (X \subseteq R \equiv X \subseteq S) \rangle \\ &\equiv \langle \forall X : : (R \subseteq X \equiv S \subseteq X) \rangle \end{aligned}$$

Basic Relation Calculus

Converse:

$$\text{°-universal:} \quad X^\circ \subseteq Y \equiv X \subseteq Y^\circ$$

$$\text{°-monotonicity:} \quad R \subseteq S \equiv R^\circ \subseteq S^\circ$$

Then:

$$\text{Involution :} \quad (R^\circ)^\circ = R$$

$$\text{Contravariance :} \quad (R \cdot S)^\circ = S^\circ \cdot R^\circ$$

These can be proved from **°-universal** by (elegant) indirect proofs (example in next slide):

Basic Relation Calculus

Indirect proof of involution

$$\begin{aligned} &(R^\circ)^\circ \subseteq Y \\ \equiv &\quad \{ \text{°-universal } X^\circ \subseteq Y \equiv X \subseteq Y^\circ \text{ for } X := R^\circ \} \\ &R^\circ \subseteq Y^\circ \\ \equiv &\quad \{ \text{°-monotonicity} \} \\ &R \subseteq Y \\ :: &\quad \{ \text{indirection} \} \\ &(R^\circ)^\circ = R \end{aligned}$$

Meet, join and converse

\cap -universal

$$X \subseteq (R \cap S) \equiv (X \subseteq R) \wedge (X \subseteq S)$$

\cup -universal

$$R \cup S \subseteq X \equiv (R \subseteq X) \wedge (S \subseteq X)$$

Converse distributes over \cap and \cup (see eg. calculation of next slide):

$$(R \cap S)^\circ = R^\circ \cap S^\circ$$

Another indirect proof

$$\begin{aligned} & X \subseteq R^\circ \cap S^\circ \\ \equiv & \quad \{ \text{\textcolor{brown}{\mathsf{\cap\text{-}universal}} } \} \\ & (X \subseteq R^\circ) \wedge (X \subseteq S^\circ) \\ \equiv & \quad \{ \text{\textcolor{brown}{monotonicity and involution}} \} \\ & (X^\circ \subseteq R) \wedge (X^\circ \subseteq S) \\ \equiv & \quad \{ \text{\textcolor{brown}{\mathsf{\cap\text{-}universal}} } \} \\ & X^\circ \subseteq R \cap S \\ \equiv & \quad \{ \text{\textcolor{brown}{monotonicity and involution}} \} \\ & X \subseteq (R \cap S)^\circ \\ \therefore & \quad \{ \text{\textcolor{brown}{indirection}} \} \\ & R^\circ \cap S^\circ = (R \cap S)^\circ \end{aligned}$$

Reasoning about functions

Shunting rules:

$$\begin{aligned} f \cdot R \subseteq S & \equiv R \subseteq f^\circ \cdot S \\ R \cdot f^\circ \subseteq S & \equiv R \subseteq S \cdot f \end{aligned}$$

Equality:

$$f \subseteq g \equiv f = g \equiv f \supseteq g$$

“Ping-pong” proof of the equality rule follows.

Proof of functional equality

$$\begin{aligned}
 & f \subseteq g \\
 \equiv & \quad \{ \text{identity} \} \\
 & f \cdot id \subseteq g \\
 \equiv & \quad \{ \text{shunting on } f \} \\
 & id \subseteq f^\circ \cdot g \\
 \equiv & \quad \{ \text{shunting on } g \} \\
 & id \cdot g^\circ \subseteq f^\circ \\
 \equiv & \quad \{ \text{converses; identity} \} \\
 & g \subseteq f
 \end{aligned}$$

Adding structure to the calculus

Note a recurrent **pattern** in several laws above:

$$\begin{aligned}
 \underbrace{X^\circ}_{f \ X} \subseteq Y & \equiv X \subseteq \underbrace{Y^\circ}_{g \ Y} \\
 \underbrace{(h \cdot) X}_{f \ X} \subseteq Y & \equiv X \subseteq \underbrace{(h^\circ \cdot) Y}_{g \ Y} \\
 \underbrace{X(\cdot h^\circ)}_{f \ X} \subseteq Y & \equiv X \subseteq \underbrace{Y(\cdot h)}_{g \ Y}
 \end{aligned}$$

as well as in

$$\underbrace{(d \times) q}_{f \ q} \leq n \equiv q \leq \underbrace{n(/d)}_{g \ n}$$

Back to the primary school desk

The **integral division** algorithm

$$\begin{array}{r} 7 \mid 2 \\ 1 \mid 3 \end{array} \quad 2 \times 3 + 1 = 7 \quad , \text{ "ie." } \quad 3 = 7/2$$

However

$$\begin{array}{r} 7 \mid 2 \\ 3 \mid 2 \end{array} \quad 2 \times 2 + 3 = 7 \quad \wedge \quad 2 \neq 7/2$$

$$\begin{array}{r} 7 \mid 2 \\ 5 \mid 1 \end{array} \quad 2 \times 1 + 5 = 7 \quad \wedge \quad 1 \neq 7/2$$

Quotient is a supremum

$$\begin{array}{r} n \mid d \\ r \mid q \end{array} \quad d \times q + r = n \equiv q = n/d$$

provided q is the
largest such q (r
smallest)

$$\begin{aligned} n/d &= \bigvee \{q \mid \exists r . d \times q + r = n\} \\ &= \bigvee \{q \mid d \times q \leq n\} \end{aligned}$$

Maths teachers tell: it takes a while before children master the “ \bigvee semantics”!

What about you? Can you easily reason about n/d in this format?
Try and prove $(n/m)/d = n/(d \times m)$.

“Universal” property instead

Alternative:

$$\begin{array}{r} n \mid d \\ r \mid q \end{array} \quad q \times d \leq n \equiv q \leq n/d$$

“universal” property of
integral division

Reasoning:

$$\begin{aligned} q &\leq (n/m)/d \\ &\equiv \{ \text{“universal” property} \} \\ q \times d &\leq n/m \end{aligned}$$

Reasoning continued

$$\begin{aligned} &\equiv \{ \text{"universal" property again} \} \\ &\quad (q \times d) \times m \leq n \\ &\equiv \{ \times \text{ is associative} \} \\ &\quad q \times (d \times m) \leq n \\ &\equiv \{ \text{"universal" property again} \} \\ &\quad q \leq n/(d \times m) \end{aligned}$$

Indirect equality

So we have

$$q \leq (n/m)/d \equiv q \leq n/(d \times m)$$

that is,

$$(n/m)/d = n/(d \times m)$$

by the **indirect equality** rule:

$$(q \leq x \equiv q \leq y) \equiv (x = y)$$

Also easy to check

$$\begin{aligned} \text{Cancellation law:} \quad & (n/d) \times d \leq n \\ &\equiv \{ \text{universal property} \} \\ &\quad n/d \leq n/d \\ &\equiv \{ \text{reflexive } \leq \} \\ &\quad \text{true} \\ \text{"Reflection":} \quad & 1 \times d \leq n \equiv 1 \leq n/d \\ &\equiv \{ 1 \text{ is the unit of } \times \} \\ &\quad d \leq n \equiv n/d \geq 1 \end{aligned}$$

Galois connections

n/d is a Galois connection:

$$\begin{array}{c} n \\ r \end{array} \Bigg| \begin{array}{c} d \\ q \end{array} \quad \underbrace{q \times d}_{f \ q} \leq n \equiv q \leq \underbrace{n/d}_{g \ n}$$

In general, for **preorders** (A, \leq) and (B, \sqsubseteq) and

$$(A, \leq) \begin{array}{c} \xrightarrow{g} \\ \xleftarrow{f} \end{array} (B, \sqsubseteq)$$

(f, g) are **Galois connected** iff...

Galois adjoints

$$\underbrace{f}_{\text{lower adjoint}} b \leq a \equiv b \sqsubseteq \underbrace{g}_{\text{upper adjoint}} a$$

that is

$$f^\circ \cdot \leq = \sqsubseteq \cdot g$$

Remarks:

- Galois (connected) adjoints enjoy a number of interesting **generic** properties
- **Very elegant** — **calculational** — way of performing **inequational** reasoning (including **logical** deduction)

Basic properties

Cancellation:

$$(f \cdot g)a \leq a \quad \text{and} \quad b \sqsubseteq (g \cdot f)b$$

Distribution (in case of lattice structures):

$$\begin{aligned} f(a \sqcup a') &= (f a) \vee (f a') \\ g(b \wedge b') &= (g b) \sqcap (g b') \end{aligned}$$

Conversely,

- If f distributes over \sqcup then it has an upper adjoint g ($f^\#$)
- If g distributes over \wedge then it has a lower adjoint f (g^\flat)

Other properties

If (f, g) are Galois connected,

- $f(g)$ **uniquely** determines $g(f)$ — thus the $_^\flat, _^\#$ notations
- f and g are **monotonic**
- (g, f) are also Galois connected — **reverse** the orderings
- $f = f \cdot g \cdot f$ and $g = g \cdot f \cdot g$

etc

Summary

$(f b) \leq a \equiv b \sqsubseteq (g a)$		
Description	$f = g^\flat$	$g = f^\#$
Definition	$f b = \bigwedge \{a \mid b \sqsubseteq g a\}$	$g a = \bigsqcup \{b \mid f b \leq a\}$
Cancellation	$f(g a) \leq a$	$b \sqsubseteq g(f b)$
Distribution	$f(b \sqcup b') = (f b) \vee (f b')$	$g(a' \sqcap a) = (g a') \sqcap (g a)$
Monotonicity	$b \sqsubseteq b' \Rightarrow f b \leq f b'$	$a \leq a' \Rightarrow g a \sqsubseteq g a'$

Converse

$(f X) \subseteq Y \equiv X \subseteq (g Y)$			
Description	$f = g^\flat$	$g = f^\sharp$	Obs.
converse	$(_)^\circ$	$(_)^\circ$	$bR^\circ a \equiv aRb$

Thus:

Cancellation	$(R^\circ)^\circ = R$
Monotonicity	$R \subseteq S \equiv R^\circ \subseteq S^\circ$
Distributions	$(R \cap S)^\circ = R^\circ \cap S^\circ, (R \cup S)^\circ = R^\circ \cup S^\circ$

Functions

$(f X) \subseteq Y \equiv X \subseteq (g Y)$			
Description	$f = g^\flat$	$g = f^\sharp$	Obs.
shunting rule	$(h \cdot)$	$(h^\circ \cdot)$	NB: h is a function
"converse" shunting rule	$(\cdot h^\circ)$	$(\cdot h)$	NB: h is a function

Consequences:

Functional equality:	$h \subseteq g \equiv h = k \equiv h \supseteq k$
Functional division:	$h^\circ \cdot R = h \setminus R$

Question: what does $h \setminus R$ mean?

Relational division

$(f X) \subseteq Y \equiv X \subseteq (g Y)$			
Description	$f = g^\flat$	$g = f^\sharp$	Obs.
left-division	$(R \cdot)$	$(R \setminus _)$	left-factor
right-division	$(\cdot R)$	$(_ / R)$	right-factor

Immediate: $(R \cdot)$ and $(\cdot R)$ distribute over union:

$$\begin{aligned} R \cdot (S \cup T) &= (R \cdot S) \cup (R \cdot T) \\ (S \cup T) \cdot R &= (S \cdot R) \cup (T \cdot R) \end{aligned}$$

Some intuition about relational division operators follows.

Relational division

The **relational division** operators are upper-adjoints:

$$R \cdot X \subseteq Y \equiv X \subseteq R \setminus Y$$

$$X \cdot R \subseteq Y \equiv X \subseteq Y / R$$

Left division abstracts a (pointwise) universal quantification

$$\begin{array}{ccc}
 & X \subseteq R \setminus Y & \\
 A & \xleftarrow{\quad} & C \\
 R & \searrow \quad \swarrow & Y \\
 & B &
 \end{array}
 \qquad
 a(R \setminus Y)c \equiv \langle \forall b : bRa \Rightarrow bYc \rangle$$

An example follows.

Example

Recall data division in the **relational model**:

$$\begin{array}{ccc}
 & X \subseteq R \setminus S & \\
 A & \xleftarrow{\quad} & C \\
 R & \searrow \quad \swarrow & S \\
 & B &
 \end{array}
 \qquad
 a(R \setminus S)c \equiv (\forall b. bRa \Rightarrow bSc)$$

$b R a$ = flight b carries passenger a

$b S c$ = flight b belongs to air-company c

$a (R \setminus S) c$ = passenger a is faithful to company c , that is, (s)he only flies company c .

Right division

By taking converses we arrive at $S / R = (R^\circ \setminus S^\circ)^\circ$:

$$\begin{aligned}
 & X \subseteq S / R \\
 \equiv & \quad \{ \text{Galois connection } ((\cdot R), (/R)) \} \\
 & X \cdot R \subseteq S \\
 \equiv & \quad \{ \text{converses} \} \\
 & R^\circ \cdot X^\circ \subseteq S^\circ \\
 \equiv & \quad \{ \text{Galois connection } ((R\cdot), (R\backslash)) \} \\
 & X^\circ \subseteq R^\circ \setminus S^\circ \\
 \equiv & \quad \{ \text{converses} \} \\
 & X \subseteq (R^\circ \setminus S^\circ)^\circ
 \end{aligned}$$

ie. Galois connection

$$X \cdot R \subseteq S \quad \equiv \quad X \subseteq S / R$$

Meet

\cap -universal

$$X \subseteq (R \cap S) \quad \equiv \quad (X \subseteq R) \wedge (X \subseteq S)$$

is a Galois connection

$$(\Delta, \cap)$$

where $\Delta X = (X, X)$, cf.

$$(X, X)(\subseteq \times \subseteq)(R, S) \quad \equiv \quad X \subseteq \cap(R, S)$$

So $\cap = \Delta^\#$ distributes over itself, etc

Properties of \cap

From \cap -universal infer:

- **\cap -cancellation** ($X := R \cap S$)

$$R \cap S \subseteq R \quad \wedge \quad R \cap S \subseteq S$$

- **\cap -abbreviation** ($X := R$)

$$R \subseteq S \quad \equiv \quad R = R \cap S$$

- **\cap -idempotency** ($S := R$)

$$R \cap R = R$$

More properties of \cap

\cap is **commutative**:

$$R \cap S = S \cap R$$

\cap is **associative**:

$$R \cap (S \cap T) = (R \cap S) \cap T$$

\cap -fusion:

$$\begin{aligned} T \cdot (R \cap S) &\subseteq (T \cdot R) \cap (T \cdot S) \\ (R \cap S) \cdot T &\subseteq (R \cdot T) \cap (S \cdot T) \end{aligned}$$

Meet and join

$(f \ X) \leq Y \equiv X \sqsubseteq (g \ Y)$			
Description	$f = g^\flat$	$g = f^\sharp$	Obs.
meet	Δ	\cap	\leq is $(\subseteq \times \subseteq)$
join	\cup	Δ	\sqsubseteq is $(\subseteq \times \subseteq)$

Join:

$$\cup(R, S) \subseteq Y \equiv (R, S)(\subseteq \times \subseteq)(Y, Y)$$

that is,

$$R \cup S \subseteq Y \equiv R \subseteq Y \wedge S \subseteq Y$$

Relational split

Functions:

$$x = \langle f, g \rangle \equiv \pi_1 \cdot x = f \wedge \pi_2 \cdot x = g$$

Relations:

$$X \subseteq \langle R, S \rangle \equiv \pi_1 \cdot X \subseteq R \wedge \pi_2 \cdot X \subseteq S$$

$\langle \rightarrow, \rightarrow \rangle$

$((\pi_1 \cdot) \times (\pi_2 \cdot)) \cdot \Delta$

Properties

$\langle \rightarrow, \rightarrow \rangle$ is an **upper-adjoint**, so it distributes over meet

$$\langle R, S \cap T \rangle = \langle R, S \rangle \cap \langle R, T \rangle$$

$$\langle S \cap T, R \rangle = \langle S, R \rangle \cap \langle T, R \rangle$$

etc. Moreover:

$$\langle R, S \rangle = (\pi_1^\circ \cdot R) \cap (\pi_2^\circ \cdot S) \quad (3)$$

Why? Again Galois at work:

Calculation

$$\begin{aligned}
X \subseteq \langle R, S \rangle &\equiv \pi_1 \cdot X \subseteq R \wedge \pi_2 \cdot X \subseteq S \\
&\equiv \{ \text{Galois connected } ((f \cdot), (f^\circ \cdot)) \} \\
&\quad X \subseteq \pi_1^\circ \cdot R \wedge X \subseteq \pi_2^\circ \cdot S \\
&\equiv \{ \text{Galois connected } (\cap^b, \cap) \} \\
&\quad X \subseteq (\pi_1^\circ \cdot R \cap \pi_2^\circ \cdot S) \\
&\because \{ \text{indirect equality} \} \\
\langle R, S \rangle &= \pi_1^\circ \cdot R \cap \pi_2^\circ \cdot S
\end{aligned}$$

Pointwise $\langle R, S \rangle$

$$\begin{aligned}
(a, b) \langle R, S \rangle c &\equiv (a, b) (\pi_1^\circ \cdot R \cap \pi_2^\circ \cdot S) c \\
&\equiv \{ \text{pointwise } \cap \} \\
&\quad (a, b) (\pi_1^\circ \cdot R) c \wedge (a, b) (\pi_2^\circ \cdot S) c \\
&= \{ \text{rule } (f \ b) R a \equiv b (f^\circ \cdot R) a \} \\
&\quad \pi_1(a, b) R c \wedge \pi_2(a, b) S c \\
&= \{ \text{projections} \} \\
&\quad a R c \wedge b S c
\end{aligned}$$

Relational either

Functions:

$$[f, g] = x \equiv f = x \cdot i_1 \wedge g = x \cdot i_2$$

Relations:

$$[R, S] \subseteq X \equiv R \subseteq X \cdot i_1 \wedge S \subseteq X \cdot i_2 \quad (4)$$

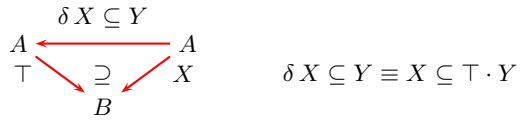
Thus $[-, -]$ is a **lower-adjoint**, it distributes over \cup , etc. Moreover,

$$[R, S] = (R \cdot i_1^\circ) \cup (S \cdot i_2^\circ) \quad (5)$$

Domain and range

$(f \cdot X) \subseteq Y \equiv X \subseteq (g \cdot Y)$			
Description	$f = g^\flat$	$g = f^\sharp$	Obs.
domain	δ	$(\top \cdot)$	lower \subseteq restricted to coreflexives
range	ρ	$(\cdot \top)$	lower \subseteq restricted to coreflexives

cf.



Domain and split

The following fact holds:

$$\langle R, S \rangle^\circ \cdot \langle X, Y \rangle = (R^\circ \cdot X) \cap (S^\circ \cdot Y)$$

Corollary:

$$\delta R = \ker \langle id, R \rangle$$

Another consequence of the fact above:

$$\ker R \subseteq \ker (S \cdot R) \iff S \text{ entire}$$

Corollary:

$$\ker R \subseteq \ker (f \cdot R)$$

Modular law

Dedekind's rule (also known as the **modular law**):

$$(R \cdot S) \cap T \subseteq R \cdot (S \cap (R^\circ \cdot T))$$

Dually (apply converses and rename):

$$(R \cdot S) \cap T \subseteq (R \cap (T \cdot S^\circ)) \cdot S$$

Symmetrical equivalent statement:

$$(R \cdot S) \cap T \subseteq (R \cap (T \cdot S^\circ)) \cdot (S \cap (R^\circ \cdot T))$$

= “weak right-distribution of meet over composition”.

Comprehending relations

For each $B \xleftarrow{R} A$ define its **graph** or **comprehension** by

$$\mathcal{G} R = \{(b, a) \mid bRa\}$$

Clearly, $R = [\mathcal{G} R]$ and so we often abbreviate $\mathcal{G} R$ to R .

The graph of every **coreflexive** S is made simpler for obvious reasons:

$$\mathcal{G} S = \{a \mid aSa\}$$

Finite relations

R is said to be **finite** wherever $\mathcal{G} R$ is a finite set.

- Finite relations, which can be enumerated, browsed and stored in a computer, are the subject of **relational database** design.
- Every finite, **simple** relation expresses a **functional dependency**.
- The graphs of finite and simple relations are called **mappings** in VDM-SL terminology.
- We will use Greek literals (σ, τ etc) to denote (finite) mappings

VDM-SL mapping notation

- Datatype: $\text{map } A \text{ to } B$
- Pointwise VDM-SL concrete syntax

$$\{a \mapsto b \mid b \sigma a\}$$

replaces $\{(b, a) \mid b \sigma a\}$.

- In VDM-SL notation, $b \sigma a$ is furthermore rephrased as $a \in \delta \sigma \wedge b = \sigma(a)$ — cf. $\sigma = \sigma \cdot \delta \sigma$ — that is, we have

$$\sigma = \{a \mapsto \sigma(a) \mid a \in \delta \sigma\}$$

Relational projection

Given a binary relation R and suitably typed functions f and g ,

- the g, f -projection of R is defined as binary relation

$$\pi_{g,f} R \stackrel{\text{def}}{=} g \cdot R \cdot f^\circ \quad (6)$$

- wherever R is simple and $g \cdot R \cdot f^\circ$ is also simple, we write $f \rightarrow g$ instead of $\pi_{g,f} R$. So,

$$f \rightarrow g \stackrel{\text{def}}{=} (g \cdot) \cdot (\cdot f^\circ)$$

- $(f \rightarrow g)R$ is always simple when f is injective.

Mappings in specifications

Example: VDM-SL *Sort* spec (*sorting*) in VDM-SL notation:

```
Sort(l: seq of int) r: seq of int
post IsOrdered(r) and IsPermutation(r,l);
```

where

```
IsPermutation: seq of int * seq of int -> bool
IsPermutation(l1,l2) ==
  forall e in set (elems l1 union elems l2) &
    card {i | i in set inds l1 & l1(i) = e} =
    card {i | i in set inds l2 & l2(i) = e};
```

Example: VDM-SL *Sort* spec

...abbreviates to

$$\begin{aligned} \text{Sort} &\stackrel{\text{def}}{=} [\text{IsOrdered}] \cdot \text{IsPermutation} \\ &= [\text{IsOrdered}] \cdot (\text{ker seq2bag}) \end{aligned}$$

assuming

```
seq2bag: seq of int -> map int to nat1
seq2bag(l) ==
  { e |-> card { i | i in set inds l & l(i) = e } |
    e in set elems l };
```

IsPermutation is an **equivalence** because $\text{ker } f$ always is reflexive, symmetric and transitive.

Relational semantics of VDM-SL

From the VDM-SL on-line manual:

Operator	Name	Semantics description
$s <: m$	Domain restrict to	Creates the map consisting of the elements in m whose key is in s . s need not be a subset of $\text{dom } m$.

Formal **semantics**:

$$[s <: m] = [m] \cdot [s]$$

where $[s]$ is coreflexive and $[m]$ is simple.

Relational semantics of VDM-SL

From the VDM-SL on-line manual:

Operator	Name	Semantics description
$m1 \mathrel{++} m2$	Override	overrides and merges $m1$ with $m2$, i.e. it is like a merge except that $m1$ and $m2$ need not be compatible; any common elements are as by $m2$ (so $m2$ overrides $m1$.)

Formal **semantics**:

$$\llbracket m1 \mathrel{++} m2 \rrbracket = \llbracket m2 \rrbracket \rightarrow \llbracket m2 \rrbracket, \llbracket m1 \rrbracket$$

cf. relational **McCarthy** conditional:

Relational McCarthy conditional

It is defined by

$$R \rightarrow S, T \stackrel{\text{def}}{=} (S \cdot \delta R) \cup T \cdot (id - \delta R)$$

where

$(f X) \subseteq Y \equiv X \subseteq (g Y)$			
Description	$f = g^b$	$g = f^\sharp$	Obs.
difference	$(_ - R)$	$(R \cup _)$	

that is,

$$\begin{aligned} X - R \subseteq Y &\equiv X \subseteq R \cup Y \\ X - R &= \bigcap \{Y \mid X \subseteq R \cup Y\} \end{aligned}$$

Reasoning about VDM-SL

We want to prove VDM-SL properties such as

$$\begin{aligned}X <: (Y <: \sigma) &= (X \cap Y) <: \sigma \\ \{\} <: \sigma &= \{\mapsto\} \\ X <: (\sigma_1 ++ \sigma_2) &= (X <: \sigma_1) ++ (X <: \sigma_2)\end{aligned}$$

First, recall properties of coreflexives:

- Coreflexives are **symmetric** and **transitive**:

$$R = R^\circ = R \cdot R = R \cap id$$

- **Meet** of two coreflexives is composition:

$$R \cap S = R \cdot S$$

Example of proof

$$\begin{aligned}& \lceil X <: (Y <: \sigma) \rceil \\&= \{ \text{relational meaning of } <: \} \\& \lceil Y <: \sigma \rceil \cdot \lceil X \rceil \\&= \{ \text{relational meaning of } <: \} \\& (\lceil \sigma \rceil \cdot \lceil Y \rceil) \cdot \lceil X \rceil \\&= \{ \text{associativity of } \cdot \text{ and coreflexives} \} \\& \lceil \sigma \rceil \cdot (\lceil X \rceil \cdot \lceil Y \rceil) \\&= \{ \text{meet of two coreflexives is composition} \} \\& \lceil \sigma \rceil \cdot (\lceil X \rceil \cap \lceil Y \rceil)\end{aligned}$$

Proof continued

$$\begin{aligned}
 & \llbracket \sigma \rrbracket \cdot (\llbracket X \rrbracket \cap \llbracket Y \rrbracket) \\
 = & \quad \{ \text{meaning of set intersection} \} \\
 & \llbracket \sigma \rrbracket \cdot \llbracket X \cap Y \rrbracket \\
 = & \quad \{ \text{relational meaning of } <: \} \\
 & \llbracket (X \cap Y) <: \sigma \rrbracket
 \end{aligned}$$

Another proof

$$\begin{aligned}
 & \llbracket X <: (\sigma_1 ++ \sigma_2) \rrbracket \\
 = & \quad \{ \text{relational meaning of } <: \text{ and } ++ \} \\
 & (\llbracket \sigma_2 \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket, \llbracket \sigma_1 \rrbracket) \cdot \llbracket X \rrbracket \\
 = & \quad \{ \text{McCarthy fusion law} \} \\
 & \llbracket \sigma_2 \rrbracket \cdot \llbracket X \rrbracket \rightarrow \llbracket \sigma_2 \rrbracket \cdot \llbracket X \rrbracket, \llbracket \sigma_1 \rrbracket \cdot \llbracket X \rrbracket \\
 = & \quad \{ \text{relational meaning of } <: \} \\
 & \llbracket X <: \sigma_2 \rrbracket \rightarrow \llbracket X <: \sigma_2 \rrbracket, \llbracket X <: \sigma_1 \rrbracket \\
 = & \quad \{ \text{relational meaning of } ++ \} \\
 & \llbracket (X <: \sigma_1) ++ (X <: \sigma_2) \rrbracket
 \end{aligned}$$

Etc.

Home work: define the relational semantics of e.g..

Operator	Name	Semantics description
$m \leftarrow -: s$	Domain restricted by	Creates the map consisting of the elements in m whose key is not in s . s need not be a subset of $\text{dom } m$.

and prove similar properties.

Override pointwise

Since

$$\delta(\sigma_1 ++ \sigma_2) = \delta\sigma_1 \cup \delta\sigma_2$$

we have, after expansion of the relational definition:

```
s1 ++ s2 ==  
  { k |-> if k in set dom s2  
          then s2(k)  
          else s1(k)  
    | k in set dom s1 union dom s2 }
```

Performing the above proof over this definition would have been far less compact.

Inductive override

Another version of map override:

```
s1 ++ s2 ==  
  if s1 = {|->}  
  then s2  
  else let k in set dom s1  
        in { k |-> if k in set dom s2  
                  then s2(k)  
                  else s1(k) } munion { k } <-: s1 ++ s2
```

How do we arrive at this recursive scheme?

See next set of slides.