Invariants as coreflexive bisimulations — in a coalgebraic setting

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Examples of areas of computing which have well-established, widespread theories taught in undergraduate courses:

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- Parsers and compilers
- Relational databases
- Automata, labelled transition systems

This time we look into the last one in the list.

Proof

Example: Bisimulations

Definition 1 (by R. Milner)

(Well-known — this version taken from the Wikipedia) A **bisimulation** is a simulation between two LTS such that its converse is also a simulation, where a **simulation** between two LTS $(X, \Lambda, \rightarrow_X)$ and $(Y, \Lambda, \rightarrow_Y)$ is a relation $R \subseteq X \times Y$ such that, if $(p, q) \in R$, then for all α in Λ , and for all $p' \in S$, $p \xrightarrow{\alpha} p'$ implies that there is a q' such that $q \xrightarrow{\alpha} q'$ and $(p', q') \in R$:



Typical example of classical, descriptive definition.

Summary

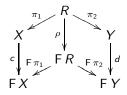
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Proof

Example: Bisimulations

Definition 2 (by Aczel & Mendler):

Given two coalgebras $c : X \to F(X)$ and $d : Y \to F(Y)$ an F-bisimulation is a relation $R \subseteq X \times Y$ which can be extended to a coalgebra ρ such that projections π_1 and π_2 lift to F-comorphisms, as expressed by



Simpler and generic (coalgebraic)

Proof

Example: Bisimulations

Definition 3 (by Bart Jacobs):

A bisimulation for coalgebras $c : X \to F(X)$ and $d : Y \to F(Y)$ is a relation $R \subseteq X \times Y$ which is "closed under c and d":

 $(x,y) \in R \Rightarrow (c(x),d(y)) \in Rel(F)(R).$

for all $x \in X$ and $y \in Y$.

(Rel(F)(R) stands for the relational *lifting* of R via functor F.)

Still coalgebraic, pointwise — somewhat disturbed by the *lifting* construct — see details in [4].



Are all these "the same" definition?

We will check the equivalence of these definitions by PF-transformation

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Bisimulations PF-transformed

Let us implode the outermost \forall in Jacobs definition by PF-transformation:

 $\langle \forall x, y :: x R y \Rightarrow (c x) Rel(F)(R) (d y) \rangle$ { PF-transform rule $(f \ b)R(g \ a) \equiv b(f^{\circ} \cdot R \cdot g)a$ } = $\langle \forall x, y :: x R y \Rightarrow x(c^{\circ} \cdot Rel(F)(R) \cdot d)y) \rangle$ { drop variables (PF-transform of inclusion) } \equiv $R \subseteq c^{\circ} \cdot Rel(F)(R) \cdot d$ { introduce relator ; "al-djabr" rule } \equiv $c \cdot R \subset (FR) \cdot d$ { introduce Reynolds combinator } \equiv $c(FR \leftarrow R)d$



Our PF-definition of bisimulation is similar to that presented by Roland Backhouse for dialgebras [2]: given dialgebra $FA \xleftarrow{k} GA$, relation $A \xleftarrow{R} A$ is a bisimulation of k iff

$$GR \subseteq k^{\circ} \cdot FR \cdot k \qquad FA \xleftarrow{k} GA \qquad (1)$$

$$FR \uparrow \qquad \uparrow GR$$

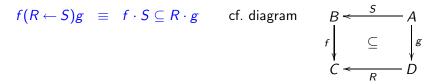
$$FA \xleftarrow{k} GA$$

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About Reynolds arrow

"Reynolds arrow combinator" is a relation on functions



useful in expressing properties of functions - namely monotonicity

$$B \xleftarrow{f} A$$
 is monotonic $\equiv f(\leq_B \leftarrow \leq_A)f$

lifting

$$f \stackrel{\cdot}{\leq} g \equiv f(\leq \leftarrow id)f$$

polymorphism (free theorem):

 $GA \xleftarrow{f} FA$ is polymorphic $\equiv \langle \forall R :: f(GR \leftarrow FR)f \rangle$

etc

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Recall database projections

 $\pi_{c,d} R \subseteq S$ { definition given in the other talk } \equiv $c \cdot R \cdot d^{\circ} \subset S$ { functions (2nd) "al-djabr" rule } \equiv $c \cdot R \subseteq S \cdot d$ { Reynolds combinator } \equiv $c(S \leftarrow R)d$ { Reynolds combinator } = $c \cdot R \subseteq S \cdot d$ { functions (1st) "al-djabr" rule } \equiv

Proof

"Al-djabr" rule for projections

$$R \subseteq c^{\circ} \cdot S \cdot d$$

$$\equiv \{ \text{ introduce } \bigcirc \}$$

$$R \subseteq \bigcirc_{c,d} S$$

Thus we get GC:

$$\pi_{c,d} R \subseteq S \equiv R \subseteq \bigcirc_{c,d} S$$
(2)

In the other talk we were interested in the lower adjoint $(\pi_{c,d})$; this time we will focus on the the upper adjoint:

$$x (\bigcirc_{c,d} S) y \equiv (c x)S(d y)$$

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Proof

"Al-djabr" rule for projections

At once we get:

- $\pi_{c,d}$ and $\bigcirc_{c,d}$ are monotonic
- Distribution properties (can be generalized to *n* > 2 arguments):

$$\pi_{c,d}(R\cup S) = (\pi_{c,d}R) \cup (\pi_{c,d}S)$$
(3)

$$\bigcirc_{c,d}(R \cap S) = (\bigcirc_{c,d} R) \cap (\bigcirc_{c,d} S)$$
 (4)

• etc

Why does Reynolds arrow matter?

Elegant and manageable PF-properties, eg.

$$id \leftarrow id = id$$
 (5)

$$(R \leftarrow S)^\circ = R^\circ \leftarrow S^\circ$$
 (6)

$$R \leftarrow S \subseteq V \leftarrow U \quad \Leftarrow \quad R \subseteq V \land U \subseteq S \tag{7}$$

$$(R \leftarrow V) \cdot (S \leftarrow U) \subseteq (R \cdot S) \leftarrow (V \cdot U)$$
 (8)

as well as

$$(f \leftarrow g^{\circ})h = f \cdot h \cdot g$$
 (9)

recalled from Roland and Kevin Backhouse paper [1] — and earlier.

These are immediately applicable to our PF version of Jacobs' definition. For instance, (5) ensures *id* as bisimulation between a given coalgebra and itself (next slide):

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Proof

Why Reynolds arrow matters

Calculation

 $c(F id \leftarrow id)d$ $\equiv \{ relator F preserves the identity \}$ $c(id \leftarrow id)d$ $\equiv \{ (5) \}$ c(id) d $\equiv \{ id x = x \}$ c = d

Too simple and obvious, even without Reynolds arrow in the play.

What about the equivalence between Jacobs's and Aczel-Mendler's definitions?

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Why Reynolds arrow matters

To the set of known rules about *Reynolds arrow*, we add the following:

pair (r, s) is a tabulation $\downarrow \qquad (10)$ $(r \cdot s^{\circ}) \leftarrow (f \cdot g^{\circ}) = (r \leftarrow f) \cdot (s \leftarrow g)^{\circ}$

Tabulations

A pair of functions C form a tabulation iff $\langle r, s \rangle$ is A B

injective, that is,

$$r^{\circ} \cdot r \cap s^{\circ} \cdot s = id$$

holds

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Why Reynolds arrow matters

Example — we check that π_1 and π_2 form a tabulation:

$$\pi_1^\circ\cdot\pi_1\cap\pi_2^\circ\cdot\pi_2=\mathit{id}$$

 $\equiv \qquad \left\{ \begin{array}{l} {\rm go \ pointwise, \ where \ \cap \ is \ conjunction} \end{array} \right\}$

- $(b,a)(\pi_1^{\circ}\cdot\pi_1)(y,x)\wedge(b,a)(\pi_2^{\circ}\cdot\pi_2)(y,x) \equiv (b,a)=(y,x)$
- $\left\{ \begin{array}{c} \mathsf{PF}\text{-transform rule } (f \ b) R(g \ a) \equiv b(f^{\circ} \cdot R \cdot g) a \text{ twice } \end{array} \right\}$
- $\pi_1(b,a) = \pi_1(y,x) \land \pi_2(b,a) = \pi_2(y,x) \equiv (b,a) = (y,x)$ $\equiv \{ \text{ trivia } \}$

$$b = y \wedge a = x \equiv (b, a) = (y, x)$$

NB: it is a standard result that every R can be factored in tabulation $R = f \cdot g^{\circ}$, eg. $R = \pi_1 \cdot \pi_2^{\circ}$.

Proof

$Jacobs \equiv Aczel \& Mendler$

$$c(FR \leftarrow R)d$$

$$\equiv \{ \text{tabulate } R = \pi_1 \cdot \pi_2^\circ \}$$

$$c(F(\pi_1 \cdot \pi_2^\circ) \leftarrow (\pi_1 \cdot \pi_2^\circ))d$$

$$\equiv \{ \text{relator commutes with composition and converse} \}$$

$$c(((F\pi_1) \cdot (F\pi_2)^\circ) \leftarrow (\pi_1 \cdot \pi_2^\circ))d$$

$$\equiv \{ \text{new rule (10)} \} \quad \text{cf.} \quad X \xrightarrow{R} \qquad Y$$

$$c((F\pi_1 \leftarrow \pi_1) \cdot ((F\pi_2)^\circ \leftarrow \pi_2^\circ))d$$

$$\equiv \{ \text{converse rule (6)} \} \quad c((F\pi_1 \leftarrow \pi_1) \cdot (F\pi_2 \leftarrow \pi_2)^\circ)d$$

$$\equiv \{ \text{go pointwise (composition)} \} \quad FX \xleftarrow{FR} \quad FY$$

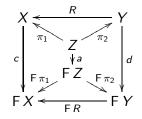
$$\langle \exists a :: c(F\pi_1 \leftarrow \pi_1)a \land d(F\pi_2 \leftarrow \pi_2)a \rangle$$

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Why Reynolds arrow matters

Meaning of $\langle \exists a :: c(F \pi_1 \leftarrow \pi_1)a \land d(F \pi_2 \leftarrow \pi_2)a \rangle$:

there exists a coalgebra **a** whose carrier is the "graph" of bisimulation R and which is such that projections π_1 and π_2 lift to the corresponding coalgebra morphisms.



Comments:

- One-slide-long proofs are easy to grasp
- Elegance of the calculation lies in the synergy with Reynolds arrow
- Rule (10) does most of the work its proof is an example of generic, stepwise PF-reasoning (see this later on)

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Proof

FDs on bisimulations

FD $d \xrightarrow{R} c$ holds wherever R is a simple bisimulation from coalgebra d to coalgebra c:

 $c(FR \leftarrow R)d$

 \equiv { expand Reynolds combinator }

$$c \cdot R \subseteq (\mathsf{F} R) \cdot d$$

 \equiv { functions (2nd) "al-djabr" rule }

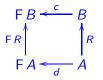
 $c \cdot R \cdot d^\circ \subseteq \mathsf{F} R$

 $\equiv \qquad \{ \text{ duplicate and take converses } \}$

$$c \cdot R \cdot d^{\circ} \subseteq \mathsf{F} R \wedge d \cdot R^{\circ} \cdot c^{\circ} \subseteq \mathsf{F} R^{\circ}$$

 \Rightarrow { monotonicity of composition ; relators }

$$c \cdot R \cdot d^{\circ} \cdot d \cdot R^{\circ} \cdot c^{\circ} \subseteq \mathsf{F}(R \cdot R^{\circ})$$



Reynolds arrow

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Summary

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Proof

FDs on bisimulations

 $\Rightarrow \{ R \text{ is simple }; F id = id \}$ $c \cdot R \cdot d^{\circ} \cdot d \cdot R^{\circ} \cdot c^{\circ} \subseteq id$ $\equiv \{ FD \text{ in kernel's version } \}$ $ker (d \cdot R^{\circ}) \subseteq ker c$ $\equiv \{ FD \text{ in injectivity preorder version } \}$ $c \leq d \cdot R^{\circ}$

In other words: c can be less injective than d as far as "allowed by" R° (which is injective). So (implementation) d is allowed to distinguish states which (specification) c does not.

Invariants

Fact $c(F id \leftarrow id)c$ above already tells us that id is a (trivial) F-invariant for coalgebra c. In general:

F-invariants

In this setting, an F-invariant Φ simply is a *coreflexive* bisimulation between a coalgebra and itself:

$$c(\mathsf{F}\,\Phi \leftarrow \Phi)c \tag{11}$$

Invariants bring about *modalities*:

$$c(\mathsf{F} \Phi \leftarrow \Phi)c \equiv \Phi \subseteq \underbrace{c^{\circ} \cdot (\mathsf{F} \Phi) \cdot c}_{\bigcirc c^{\Phi}}$$

cf. the "next time X holds" modal operator:

$$\bigcirc_c X \stackrel{\text{def}}{=} c^\circ \cdot (\mathsf{F} X) \cdot c$$

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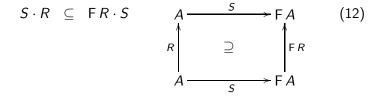
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Invariants — related work

Elegant PF-definition of a (relational) F-invariant already in Gibbons *et al "When is a function a fold or an unfold"*? [3]:

F-invariant

Given relation $FA \xleftarrow{S} A$ (a so-called F-*coalgebra*), we say that relation $A \xleftarrow{R} A$ is an F-*invariant for S* iff



Proof

Invariants and projections

As as upper adjoint in a Galois connection,

• \bigcirc_c is **monotonic** — thus simple proofs such as

• \bigcirc_c distributes over conjunction, that is PF-equality

$$\bigcirc_c (\Phi \cdot \Psi) = (\bigcirc_c \Phi) \cdot (\bigcirc_c \Psi)$$

holds, etc

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What about Milner's original definition?

Milner's definition is recovered via

• the power-transpose relating binary relations and set-valued functions,

$$f = \Lambda R \equiv R = \in \cdot f \tag{13}$$

where $A \xleftarrow{\in} \mathcal{P}A$ is the membership relation.

• the powerset relator:

$$\mathcal{P}R = (\in \backslash (R \cdot \in)) \cap ((\in^{\circ} \cdot R)/(\in^{\circ}))$$
(14)

which unfolds to an elaborate pointwise formula:

 $Y(\mathcal{P}R)X \equiv \langle \forall a : a \in Y : \langle \exists b : b \in X : a R b \rangle \rangle \land \dots etc$

Calculation of Milner's definition

 $c(\mathcal{P}R \leftarrow R)d$

- $\equiv \{ \text{ powerset coalgebras uniquely transpose relations } \} \\ (\Lambda S)(\mathcal{P}R \leftarrow R)(\Lambda U)$
- \equiv { Reynolds }

 $(\Lambda S) \cdot R \subseteq (\mathcal{P}R) \cdot (\Lambda U)$

 \equiv { (14) }

 $(\Lambda S) \cdot R \subseteq ((\in \setminus (R \cdot \in)) \cap ((\in^{\circ} \cdot R)/(\in^{\circ}))) \cdot (\Lambda U)$

 $\equiv \{ \text{ distribution since } \Lambda U \text{ is simple } \}$

 $(\Lambda S) \cdot R \subseteq (\in \setminus (R \cdot \in)) \cdot (\Lambda U) \land (\Lambda S) \cdot R \subseteq ((\in^{\circ} \cdot R)/(\in^{\circ})) \cdot (\Lambda U)$

 \equiv { "al-djabr" rule (composition/division) and power transpose }

Calculation of Milner's definition

- $S \cdot R \subseteq R \cdot U \wedge (\Lambda S) \cdot R \subseteq ((\in^{\circ} \cdot R)/(\in^{\circ})) \cdot (\Lambda U)$
- $\{ take converses ; "al-djabr" (functions) \}$
 - $S \cdot R \subseteq R \cdot U \wedge (\Lambda U) \cdot R^{\circ} \subseteq ((\in^{\circ} \cdot R)/(\in^{\circ}))^{\circ} \cdot (\Lambda S)$

 $\{$ divisions and power transpose $\}$

$$S \cdot R \subseteq R \cdot U \wedge U \cdot R^{\circ} \subseteq R^{\circ} \cdot S$$

Obs:

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- Matteo Vaccari [6] infers the same by direct PF-transforming Milner's original definition
- We obtain the same result by instantiating Jacobs' definition to the power relator.



Further modal operators, for instance □Ψ — henceforth Ψ — usually defined as the largest invariant at most Ψ:

$$\Box \Psi = \langle \bigcup \Phi :: \Phi \subseteq \Psi \cap \bigcirc_c \Phi \rangle$$

which shrinks to a greatest (post)fix-point

$$\Box \Psi = \langle \nu \Phi :: \Psi \cdot \bigcirc_c \Phi \rangle$$

where meet (of coreflexives) is replaced by composition, as this paves the way to agile reasoning

- Properties calculated by PF-fixpoint calculation
- etc (currently writing a paper on this)

- Pointfree / pointwise dichotomy: PF is for reasoning in-the-large, PW is for the small
- Back to basics: need for computer science theory "refactoring"
- Rôle of PF-patterns: clear-cut expression of complex logic structures once expressed in less symbols
- Rôle of PF-patterns: much easier to spot synergies among different theories
- Coalgebraic approach in a relational setting: a win-win approach while putting together coalgebras (functions) + relators (relations).
- Also related: proof obligations on state invariants in VDM discharged by PF- calculation [5].

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Annex — Calculation of (10)

Still need to calculate rule

 $\begin{array}{rcl} \text{pair } (r, \ s) \text{ is a tabulation} \\ & \Downarrow \\ (r \cdot s^{\circ}) \leftarrow (f \cdot g^{\circ}) & = & (r \leftarrow f) \cdot (s \leftarrow g)^{\circ} \end{array}$

Our approach structures itself in a number of (generic) auxiliary results. First of all, and thanks to (8), only the "fission" part of the consequent of (10)

$$(r \cdot s^{\circ}) \leftarrow (f \cdot g^{\circ}) \subseteq (r \leftarrow f) \cdot (s \leftarrow g)^{\circ}$$

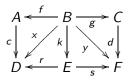
calls for evidence which, for all suitably typed functions c and d, equivales

$$c \cdot f \cdot g^{\circ} \subseteq r \cdot s^{\circ} \cdot d \Rightarrow \langle \exists k :: c(r \leftarrow f)k \wedge d(s \leftarrow g)k \rangle$$

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 $c \cdot f \cdot g^{\circ} \subset r \cdot s^{\circ} \cdot d \Rightarrow \langle \exists k :: c(r \leftarrow f)k \land d(s \leftarrow g)k \rangle$ { "al-djabr" and Reynolds arrow } \equiv $c \cdot f \subseteq r \cdot s^{\circ} \cdot d \cdot g \Rightarrow \langle \exists k :: c \cdot f = r \cdot k \land d \cdot g = s \cdot k \rangle$ This, in turn, is an instance of $x \subseteq r \cdot s^{\circ} \cdot y \Rightarrow \langle \exists k :: x = r \cdot k \land y = s \cdot k \rangle$ { "al-djabr" and split-universal, followed by split-fusion } \equiv $x \cdot y^{\circ} \subseteq r \cdot s^{\circ} \Rightarrow \langle \exists k :: \langle x, y \rangle = \langle r, s \rangle \cdot k \rangle$ (15)

for $x, y := c \cdot f, d \cdot g$, cf. diagram:



Reynolds arrow

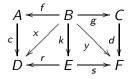
Invariant

Summary

Proof

On function-split fission

The righthand side of implication (15) is an assertion of *split-fission*, an instance of function-fission in general. This can be shown to lead to two concerns:



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• the image of $\langle x, y \rangle$ must be at most the image of $\langle r, s \rangle$ — $\langle r, s \rangle$ "at least as surjective as" $\langle x, y \rangle$

• $\langle r, s \rangle$ must be injective "relative" to $\langle x, y \rangle$.

Concerning the former, we are happy to realize that it exactly matches the antecendent of (15):

 $\mathsf{img}\langle x,y
angle\subseteq\mathsf{img}\langle r,s
angle$

 $\equiv \{ \text{ split image transform, see below } \}$ $x \cdot y^{\circ} \subseteq r \cdot s^{\circ}$

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On function-split fission

Concerning the latter, we go stronger than required in forcing $\langle r,s\rangle$ to be everywhere-injective:

 $\mathsf{ker}\,\langle r,s\rangle\subseteq \mathit{id}$

 $\equiv \qquad \{ \text{ kernels of splits ; kernels of functions are reflexive } \}$

 $\ker r \cap \ker s = id$

This is equivalent to saying that pair r, s is a tabulation: thus the side condition of (10).

Reynolds arrow

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Summary

Proof

On function fission

Divisibility relation on functions

 $f \setminus g$ iff there is a k such that

$$g = f \cdot k \tag{16}$$

holds. \Box

Of course, $g \setminus g$ holds (k = id) and $id \setminus g$ holds (k = g).

In general, to establish $f \setminus g$ it is enough to find a *functional* solution k to equation (16). Clearly, a **relational** upperbound for k always exists, $f^{\circ} \cdot g$, cf.

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Motivation Bisimulations Reynolds arrow Invariants Summary

On function fission

Proof

$$g = f \cdot k$$

$$\equiv \{ \text{ equality of functions } \}$$

$$f \cdot k \subseteq g$$

$$\equiv \{ \text{ "al-djabr" } \}$$

$$k \subseteq f^{\circ} \cdot g$$

Let us find conditions for such a (maximal) solution $f^{\circ} \cdot g$ to be a function: it must be entire

$$id \subseteq (f^{\circ} \cdot g)^{\circ} \cdot f^{\circ} \cdot g$$

$$\equiv \{ \text{ "al-djabr"} ; \text{ definition of image } \}$$

$$\operatorname{img} g \subseteq \operatorname{img} f$$

Summary

Proof

On function fission

and simple:

$$f^{\circ} \cdot g \cdot (f^{\circ} \cdot g)^{\circ} \subseteq id$$

$$\equiv \{ \text{ converses } \}$$

$$f^{\circ} \cdot g \cdot g^{\circ} \cdot f \subseteq id$$

So, for f divides g wherever

- f at least as surjective as g and
- f "injective within the image (range) of" g.

Last condition back to points: for all a, b

$$\langle \exists c :: f a = g c = f b \rangle \Rightarrow a = b$$

Images of splits

Generic fact for calculating with images of splits:

$$\operatorname{\mathsf{img}} \langle R, S \rangle \subseteq \operatorname{\mathsf{img}} \langle U, V \rangle \equiv R \cdot S^{\circ} \subseteq U \cdot V^{\circ} \tag{17}$$

Calculation:

 $\operatorname{img}\langle R, S \rangle \subseteq \operatorname{img}\langle U, V \rangle$ { switch to conditions } \equiv $\langle R, S \rangle \cdot !^{\circ} \subset \langle U, V \rangle \cdot !^{\circ}$ $\{$ "split twist" rule (18) $\}$ \equiv $\langle R, ! \rangle \cdot S^{\circ} \subset \langle U, ! \rangle \cdot V^{\circ}$ $\{ (19) \text{ thanks to } !-natural \}$ Ξ $\langle id, ! \rangle \cdot R \cdot S^{\circ} \subset \langle id, ! \rangle \cdot U \cdot V^{\circ}$ $\{ \langle id, f \rangle \text{ is injective for any } f, \text{ thus left-cancellable } \}$ \equiv $R \cdot S^{\circ} \subset U \cdot V^{\circ}$

"Split twist" rule:

$$\langle R, S \rangle \cdot T \subseteq \langle U, V \rangle \cdot X \equiv \langle R, T^{\circ} \rangle \cdot S^{\circ} \subseteq \langle U, X^{\circ} \rangle \cdot V^{\circ}$$
 (18)

Conditional split-fusion:

 $\langle R, S \rangle \cdot T = \langle R \cdot T, S \cdot T \rangle \iff R \cdot (\operatorname{img} T) \subseteq R \lor S \cdot (\operatorname{img} T)$

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