

PF transform: conditions, coreflexives and design by contract

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Recall

Some basic rules of the PF-transform:

ϕ	$PF \phi$
$\langle \exists a :: b R a \wedge a S c \rangle$	$b(R \cdot S)c$
$\langle \forall a, b :: b R a \Rightarrow b S a \rangle$	$R \subseteq S$
$\langle \forall a :: a R a \rangle$	$id \subseteq R$
$b R a \wedge c S a$	$(b, c)\langle R, S \rangle a$
$b R a \wedge d S c$	$(b, d)(R \times S)(a, c)$
$b R a \wedge b S a$	$b(R \cap S) a$
$b R a \vee b S a$	$b(R \cup S) a$
$(f b) R (g a)$	$b(f^\circ \cdot R \cdot g)a$
TRUE	$b \top a$
FALSE	$b \perp a$

Question

- The PF-transform seems applicable to transforming **binary** predicates only, easily converted to binary relations, eg.

$$\phi(y, x) \triangleq y - 1 = 2x$$

which transforms to function $y = 2x + 1$, etc.

- What about transforming predicates such as the following

$$\langle \forall x, y : y = \textit{twice } x \wedge \textit{even } x : \textit{even } y \rangle \quad (141)$$

expressing the fact that function $\textit{twice } x \triangleq 2x$ preserves even numbers, where $\textit{even } x \triangleq \textit{rem}(x, 2) = 0$ is a **unary** predicate?

Observation

- As already noted, (141) is a proposition stating that function *twice* **preserves** even numbers.
- In general, a function $A \xleftarrow{f} A$ is said to **preserve** a given predicate ϕ iff the following holds:

$$\langle \forall x : \phi x : \phi (f x) \rangle \quad (142)$$

- Proposition (142) itself is a particular case of

$$\langle \forall x : \phi x : \psi (f x) \rangle \quad (143)$$

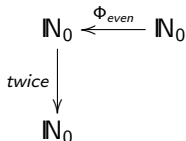
which states that *f* **ensures** property ψ on its **output** every time property ϕ holds on its **input**.

Answer

We first PF-transform the scope of the quantification:

$$\begin{aligned}
 & y = \textit{twice } x \wedge \textit{even } x \\
 \equiv & \quad \{ \textit{introduce } z \textit{ by } \exists\text{-one-point (15)} \} \\
 & \langle \exists z : z = x : y = \textit{twice } z \wedge \textit{even } z \rangle \\
 \equiv & \quad \{ \exists\text{-trading (8)} ; \textit{introduce } \Phi_{\textit{even}} \} \\
 & \langle \exists z :: y = \textit{twice } z \wedge \underbrace{z = x \wedge \textit{even } z}_{z(\Phi_{\textit{even}})x} \rangle \\
 \equiv & \quad \{ \textit{composition (57)} \} \\
 & y(\textit{twice} \cdot \Phi_{\textit{even}})x
 \end{aligned}$$

cf. diagram



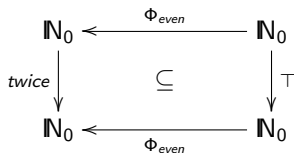
Now the whole thing

$$\begin{aligned}
 & \langle \forall x, y : y = \textit{twice } x \wedge \textit{even } x : \textit{even } y \rangle \\
 \equiv & \quad \{ \textit{above} \} \\
 & \langle \forall x, y : y(\textit{twice} \cdot \Phi_{\textit{even}})x : \textit{even } y \rangle \\
 \equiv & \quad \{ \exists\text{-one-point} \} \\
 & \langle \forall x, y : y(\textit{twice} \cdot \Phi_{\textit{even}})x : \langle \exists z : z = y : \textit{even } z \rangle \rangle \\
 \equiv & \quad \{ \textit{predicate calculus: } p \wedge \text{TRUE} = p \} \\
 & \langle \forall x, y : y(\textit{twice} \cdot \Phi_{\textit{even}})x : \langle \exists z :: z = y \wedge \textit{even } z \wedge \text{TRUE} \rangle \rangle \\
 \equiv & \quad \{ \top \textit{ is the top relation} \} \\
 & \langle \forall x, y : y(\textit{twice} \cdot \Phi_{\textit{even}})x : \langle \exists z :: y(\Phi_{\textit{even}})z \wedge z \top x \rangle \rangle \\
 \equiv & \quad \{ \textit{composition} \}
 \end{aligned}$$

Now the whole thing

$$\begin{aligned}
 & \langle \forall x, y : y(\mathit{twice} \cdot \Phi_{\mathit{even}})x : y(\Phi_{\mathit{even}} \cdot \top)x \rangle \\
 \equiv & \quad \{ \text{go pointfree (inclusion)} \} \\
 & \mathit{twice} \cdot \Phi_{\mathit{even}} \subseteq \Phi_{\mathit{even}} \cdot \top
 \end{aligned}$$

cf. diagram



In summary

In the calculation above, **unary** predicate *even* has been PF-transformed in two ways:

- Φ_{even} such that

$$z \Phi_{\text{even}} x \triangleq z = x \wedge \text{even } z$$

Clearly, $\Phi_{\text{even}} \subseteq \text{id}$ — that is, Φ_{even} is a **coreflexive** relation;

- $\Phi_{\text{even}} \cdot \top$, which is such that

$$z(\Phi_{\text{even}} \cdot \top)x \equiv \text{even } z$$

— a so-called (left) **condition**.

Coreflexives

As *id* can be represented as the “all-1s” diagonal matrix, so do **coreflexives**, which are *sub-diagonal* matrices, eg.

$$\Phi_{\text{vowel}} =$$

	a	b	c	d	e	f	...
a	1	0	0	0	0	0	0
b	0	0	0	0	0	0	0
c	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	0	0	0	0	1	0	0
f	0	0	0	0	0	0	0
...	0	0	0	0	0	0	...

where *vowel* is the predicate identifying characters which are vowels.

Coreflexives

PF-transform of **unary** predicate p into the corresponding fragment Φ_p of id (coreflexive),

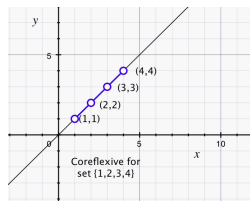
$$y \Phi_p x \equiv y = x \wedge p y \quad (144)$$

is unique — thus the universal property:

$$\Phi = \Phi_p \equiv (y \Phi x \equiv y = x \wedge p y) \quad (145)$$

A set S can also be PF-transformed into a coreflexive by calculating $\Phi_{(\in S)}$, cf. eg. the transform of set $\{1, 2, 3, 4\}$:

$$\Phi_{1 \leq x \leq 4} =$$



Exercises

Exercise 58: Let *false* be the “everywhere false” predicate such that *false* $x = \text{FALSE}$ for all x , that is, *false* = FALSE. Show that $\Phi_{\text{false}} = \perp$.



Exercise 59: Given a set S , let Φ_S abbreviate coreflexive $\Phi_{(\in S)}$. Use (144) to unfold $\Phi_{\{1,2\}} \cdot \Phi_{\{2,3\}}$ to pointwise notation.



Exercise 60: Show that (145) follows from (144).



Exercise 61: Solve (145) for p under substitution $\Phi := id$.



Boolean algebra of coreflexives

Building up on the exercises above, from (145) one easily draws:

$$\Phi_{p \wedge q} = \Phi_p \cdot \Phi_q \quad (146)$$

$$\Phi_{p \vee q} = \Phi_p \cup \Phi_q \quad (147)$$

$$\Phi_{\neg p} = id - \Phi_p \quad (148)$$

$$\Phi_{false} = \perp \quad (149)$$

$$\Phi_{true} = id \quad (150)$$

where p , q are predicates.

(Note the slight, obvious abuse in notation.)

Basic properties of coreflexives

Let Φ , Ψ be coreflexive relations. Then the following properties hold:

- Coreflexives are **symmetric** and **transitive**:

$$\Phi^\circ = \Phi = \Phi \cdot \Phi \quad (151)$$

- **Meet** of two coreflexives is composition:

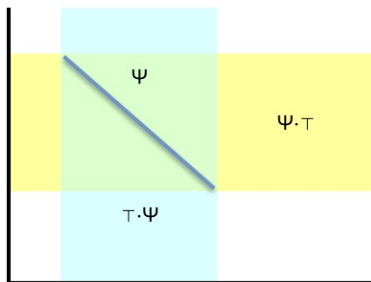
$$\Phi \cap \Psi = \Phi \cdot \Psi \quad (152)$$

- Closure properties:

$$R \cdot \Phi \subseteq S \equiv R \cdot \Phi \subseteq S \cdot \Phi \quad (153)$$

$$\Phi \cdot R \subseteq S \equiv \Phi \cdot R \subseteq \Phi \cdot S \quad (154)$$

Relating coreflexives with conditions



Coreflexive Ψ represented by a **right**-condition

$$T \cdot \Psi$$

or by a left-condition:

$$\Psi \cdot T$$

Mapping back and forward:

$$\Phi \subseteq \Psi \equiv \Phi \subseteq T \cdot \Psi \quad (155)$$

$$\Phi \subseteq \Psi \equiv \Phi \subseteq \Psi \cdot T \quad (156)$$

Relating coreflexives with conditions

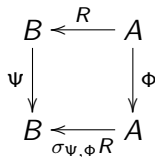
Pre and post restriction:

$$R \cdot \Phi = R \cap \top \cdot \Phi \quad (157)$$

$$\Psi \cdot R = R \cap \Psi \cdot \top \quad (158)$$

Putting these together we obtain **selection**, as in SQL:

$$\sigma_{\Psi, \Phi} R \triangleq \Psi \cdot R \cdot \Phi \quad (159)$$



Clearly,

$$\sigma_{\Psi, \Phi} R = \{(b, a) : b R a \wedge \Psi b \wedge \Phi a\} \quad (160)$$

for $\Psi = \Phi_\psi$ and $\Phi = \Phi_\phi$.

Selection

Let us check (160):

$$\begin{aligned}
 & \sigma_{\Psi, \Phi} R \\
 = & \quad \{ \text{set theoretical meaning of a relation} \} \\
 & \{(b, a) : b(\sigma_{\Psi, \Phi} R)a\} \\
 = & \quad \{ \text{definition (159)} \} \\
 & \{(b, a) : b(\Psi \cdot R \cdot \Phi)a\} \\
 = & \quad \{ \text{composition} \} \\
 & \{(b, a) : \langle \exists c : b \Psi c : c(R \cdot \Phi)a \rangle\} \\
 = & \quad \{ \text{coreflexive } \Psi = \Phi_{\psi} \text{ (145) ; } \exists\text{-trading} \} \\
 & \{(b, a) : \langle \exists c : b = c : \psi b \wedge c(R \cdot \Phi)a \rangle\} \\
 = & \quad \{ \text{next slide} \}
 \end{aligned}$$

Selection

$$\begin{aligned}
 &= \{ \exists\text{-one-point ; composition again } \} \\
 &\quad \{(b, a) : \psi b \wedge \langle \exists d :: b R d \wedge d \Phi a \rangle\} \\
 &= \{ \text{coreflexive } \Phi = \Phi_\phi \text{ (145) ; } \exists\text{-trading } \} \\
 &\quad \{(b, a) : \psi b \wedge \langle \exists d : d = a : b R d \wedge \phi a \rangle\} \\
 &= \{ \exists\text{-one-point ; trivia } \} \\
 &\quad \{(b, a) : \psi b \wedge b R a \wedge \phi a\}
 \end{aligned}$$

Exercise 62: Combinator

$$R \square S \triangleq R \cdot \top \cdot S \quad (161)$$

is known as the “rectangular” combinator. Recalling that $\ker ! = \top$, show that $! \square !^\circ = id$

□

Projection

By the way, another SQL-like relational operator is **projection**,

$$\pi_{g,f}R \triangleq g \cdot R \cdot f^\circ \quad (162)$$

whose set-theoretic meaning is

$$\pi_{g,f}R = \{(g\ b, f\ a) : b\ R\ a\} \quad (163)$$

Functions f and g are often referred to as **attributes** of R .

Exercise 63: Derive (163) from (162).

□

Exercise

Exercise 64: A relation R is said to satisfy **functional dependency** (FD) $g \rightarrow f$, written $g \xrightarrow{R} f$ wherever projection $\pi_{f,g} R$ (162) is **simple**.

1. Show that

$$g \xrightarrow{R} f \quad \equiv \quad \ker(g \cdot R^\circ) \subseteq \ker f \quad (164)$$

holds.

2. Show that (164) trivially holds wherever g is injective and R is simple, for all (suitably typed) f .
3. Prove the **composition rule** of FDs:

$$h \xleftarrow{S \cdot R} g \quad \Leftarrow \quad h \xleftarrow{S} f \quad \wedge \quad f \xleftarrow{R} g \quad (165)$$

□

Two useful coreflexives

Domain:

$$\delta R \triangleq \ker R \cap id \quad (166)$$

Range:

$$\rho R \triangleq \text{img } R \cap id \quad (167)$$

Universal properties:

$$\delta R \subseteq \Phi \equiv R \subseteq T \cdot \Phi \quad (168)$$

$$\rho R \subseteq \Phi \equiv R \subseteq \Phi \cdot T \quad (169)$$

Domain/range elimination rules:

$$T \cdot \delta R = T \cdot R \quad (170)$$

$$\rho R \cdot T = R \cdot T \quad (171)$$

$$\delta R \subseteq \delta S \equiv R \subseteq T \cdot S \quad (172)$$

δR and ρR illustrated in Alloy

```

/Users/jno/work/x.als
New Open Reload Save Execute SI
x RelCalc

open RelCalc

sig A {
  S : set B,
  D : set A
}

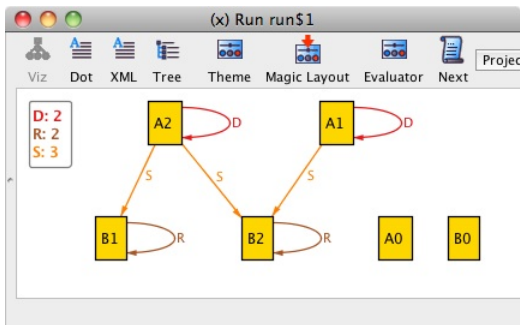
fact {
  D = delta[S]
  R = rho[S]
}

sig B { R: set B }

run {
  some S
  not Entire[S,A]
  not Surjective[S,B]
}

Line 19, Column 22

```



Two useful coreflexives

More facts about domain and range:

$$\delta R = \rho(R^\circ) \quad (173)$$

$$\delta(R \cdot S) = \delta(\delta R \cdot S) \quad (174)$$

$$\rho(R \cdot S) = \rho(R \cdot \rho S) \quad (175)$$

$$R = R \cdot (\delta R) \quad (176)$$

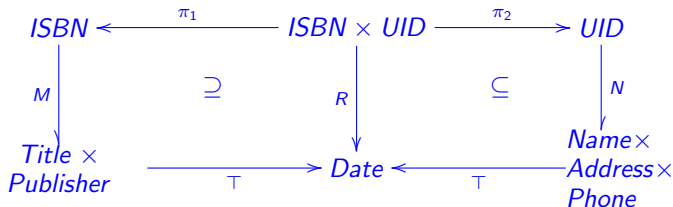
$$R = (\rho R) \cdot R \quad (177)$$

Exercise 65: Recalling (157), (158) and other properties of relation algebra, show that: (a) (168) and (169) can be re-written with R replacing \top ; (b) $\Phi \subseteq \Psi \equiv ! \cdot \Phi \subseteq ! \cdot \Psi$ holds.

□

Exercise

Exercise 66: Recall diagram (117) of a library loan data model:



Show that the invariants captured by the two rectangles can be alternatively expressed by $\pi_{id, \pi_1} R \leq M$ and $\pi_{id, \pi_2} R \leq N$ where

$$R \leq S \triangleq \delta R \subseteq \delta S \quad (178)$$

clearly exhibiting the **foreign/primary**-key relationships of the data model (*ISBN* and *UID*).

□

Coreflexives at work — data flow

Coreflexives are very handy in controlling information flow in PF-expressions, as the following two PF-transform rules show, given two suitably typed coreflexives $\Phi = \Phi_\phi$ and $\Psi = \Phi_\psi$:

- Guarded **composition**: for all b, c

$$\langle \exists a : \phi a : b R a \wedge a S c \rangle \equiv b(R \cdot \Phi \cdot S)c \quad (179)$$

- Guarded **inclusion**:

$$\begin{aligned} \langle \forall b, a : \phi b \wedge \psi a : b R a \Rightarrow b S a \rangle \\ \equiv \Phi \cdot R \cdot \Psi \subseteq S \end{aligned} \quad (180)$$

For $\Phi = id$ and $\Psi = id$ we recover the (non-guarded) standard definitions.

Coreflexives at work — satisfiability

Back to the **pre/post** specification style, by writing specification S

$$S : (b : B) \leftarrow (a : A)$$

pre ...

post ...

we mean the definition of two predicates

$$\text{pre-}S : A \rightarrow \mathbb{B}$$

$$\text{post-}S : B \times A \rightarrow \mathbb{B}$$

such that the **satisfiability** condition holds

$$\langle \forall a : a \in A \wedge \text{pre-}S a : \langle \exists b : b \in B : \text{post-}S(b, a) \rangle \rangle$$

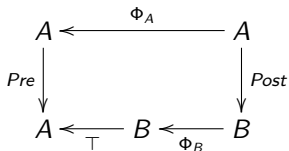
recall (33).

Coreflexives at work — satisfiability

Let us abbreviate

- $\Phi_{\text{pre-}s}$ by Pre
- $\Phi_{\text{post-}s}$ by $Post$
- $\Phi_{(\in A)}$ by Φ_A , which in general includes an invariant associated to datatype A
- $\Phi_{(\in B)}$ by Φ_B , which in general includes an invariant associated to datatype B

Then (33) PF-transforms to



$$Pre \cdot \Phi_A \subseteq \top \cdot \Phi_B \cdot Post \quad (181)$$

Functional satisfiability

Case $Pre = id$, $Post = f$:

$$\begin{aligned}
 & \Phi_A \subseteq T \cdot \Phi_B \cdot f \\
 \equiv & \quad \{ \text{shunting rule (80)} \} \\
 & \Phi_A \cdot f^\circ \subseteq T \cdot \Phi_B \\
 \equiv & \quad \{ \text{converses} \} \\
 & f \cdot \Phi_A \subseteq \Phi_B \cdot T \\
 \equiv & \quad \{ (96), \text{ since } f \cdot \Phi_A \subseteq f \} \\
 & f \cdot \Phi_A \subseteq f \cap \Phi_B \cdot T \\
 \equiv & \quad \{ (158) \} \\
 & f \cdot \Phi_A \subseteq \Phi_B \cdot f
 \end{aligned}$$

What does this mean?

Functional satisfiability \equiv invariant preservation

Let us introduce variables in $f \cdot \Phi_A \subseteq \Phi_B \cdot f$:

$$\begin{aligned}
 & f \cdot \Phi_A \subseteq \Phi_B \cdot f \\
 \equiv & \quad \{ \text{shunting rule (79)} \} \\
 & \Phi_A \subseteq f^\circ \cdot \Phi_B \cdot f \\
 \equiv & \quad \{ \text{introduce variables} \} \\
 & \langle \forall a, a' : a \Phi_A a' : (f a) \Phi_B (f a') \rangle \\
 \equiv & \quad \{ \text{coreflexives } (a = a') \} \\
 & \langle \forall a :: a \Phi_A a \Rightarrow (f a) \Phi_B (f a) \rangle \\
 \equiv & \quad \{ \text{meaning of } \Phi_A, \Phi_B \} \\
 & \langle \forall a : a \in A : (f a) \in B \rangle
 \end{aligned}$$

Functional satisfiability \equiv invariant preservation

Another way to put it:

$$\begin{aligned}
 & f \cdot \Phi_A \subseteq \Phi_B \cdot f \\
 \equiv & \quad \{ \text{shunting} \} \\
 & f \cdot \Phi_A \cdot f^\circ \subseteq \Phi_B \\
 \equiv & \quad \{ \text{coreflexives} \} \\
 & f \cdot \Phi_A \cdot \Phi_A^\circ \cdot f^\circ \subseteq \Phi_B \\
 \equiv & \quad \{ \text{image definition} \} \\
 & \text{img}(f \cdot \Phi_A) \subseteq \Phi_B \\
 \equiv & \quad \{ f \cdot \Phi_A \text{ is simple} \} \\
 & \rho(f \cdot \Phi_A) \subseteq \Phi_B
 \end{aligned}$$

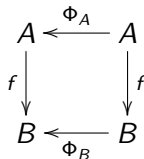
Functional satisfiability \equiv invariant preservation

We will write “type declaration”

$$\Phi_B \xleftarrow{f} \Phi_A \quad (182)$$

to mean

$$f \cdot \Phi_A \subseteq \Phi_B \cdot f \quad \text{cf. diagram} \quad (183)$$



equivalent to both

$$f \cdot \Phi_A \subseteq \Phi_B \cdot \top \quad (184)$$

$$\rho(f \cdot \Phi_A) \subseteq \Phi_B \quad (185)$$

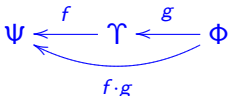
Design by contract

In general, a “type declaration” $\Psi \xleftarrow{f} \Phi$ (182) is the basis of **functional programming** (f) with so-called **contracts** (Ψ, Φ) , an instance of the well-known *Design by Contract* (**DbC**) methodology (more about this later).

DbC works because **contracts** are compositional,

$$\Psi \xleftarrow{f \cdot g} \Phi \quad \Leftarrow \quad \Psi \xleftarrow{f} \Upsilon \wedge \Upsilon \xleftarrow{g} \Phi \quad (186)$$

that is, diagram



makes sense.

Design by contract

Contract composition (186) is easy to prove:

$$\begin{aligned}
 & \Psi \xleftarrow{f} \Upsilon \wedge \Upsilon \xleftarrow{g} \Phi \\
 \equiv & \quad \{ \text{(182) twice} \} \\
 & f \cdot \Upsilon \subseteq \Psi \cdot f \wedge g \cdot \Phi \subseteq \Upsilon \cdot g \\
 \Rightarrow & \quad \{ \text{monotonicity of } (\cdot g) \text{ and } (f \cdot) \} \\
 & f \cdot \Upsilon \cdot g \subseteq \Psi \cdot f \cdot g \wedge f \cdot g \cdot \Phi \subseteq f \cdot \Upsilon \cdot g \\
 \Rightarrow & \quad \{ \subseteq \text{ is transitive} \} \\
 & f \cdot g \cdot \Phi \subseteq \Psi \cdot f \cdot g \\
 \equiv & \quad \{ \text{(182)} \} \\
 & \Psi \xleftarrow{f \cdot g} \Phi
 \end{aligned}$$

Design by contract

Contracts can also be paired, leading to the type rule (188) which is derived in the exercise below.

Exercise 67: Rely on the **absorption** property

$$\langle R \cdot T, S \cdot U \rangle = (R \times S) \cdot \langle T, U \rangle \quad (187)$$

in showing that

$$\Psi \times \Upsilon \xleftarrow{\langle f, g \rangle} \Phi \quad \equiv \quad \Psi \xleftarrow{f} \Phi \wedge \Upsilon \xleftarrow{g} \Phi \quad (188)$$

holds.



Exercises

Exercise 68: From (182) and properties (79), etc infer the following **DbC** rules

$$\Upsilon \xleftarrow{f} \Phi \cup \Psi \quad \equiv \quad \Upsilon \xleftarrow{f} \Phi \wedge \Upsilon \xleftarrow{f} \Psi \quad (189)$$

$$\Phi \cdot \Psi \xleftarrow{f} \Upsilon \quad \equiv \quad \Phi \xleftarrow{f} \Upsilon \wedge \Psi \xleftarrow{f} \Upsilon \quad (190)$$

You will also need $(R\cdot)$ -distribution (101).



Exercise 69: Show that (181) means the same as

$$Pre \cdot \Phi_A \subseteq Post^\circ \cdot \Phi_B \cdot Post \quad (191)$$



Exercises

Exercise 70: Consider the relational version of McCarthy's conditional combinator which follows:

$$p \rightarrow f, g = f \cdot \Phi_p \cup g \cdot \Phi_{\neg p} \quad (192)$$

(a) Using (184) infer the following **DbC** rule for *conditionals*:

$$\Upsilon \xleftarrow{p \rightarrow f, g} \Psi \equiv \Upsilon \xleftarrow{f} \Psi \cdot \Phi_p \wedge \Upsilon \xleftarrow{g} \Psi \cdot \Phi_{\neg p} \quad (193)$$

(b) Now try and define a rule for handling contracts involving conditional conditions:

$$\Upsilon \xleftarrow{p \rightarrow f, g} (p \rightarrow \Psi, \Phi) = \dots \quad (194)$$

□

Exercises

Exercise 71: Recall that our motivating ESC assertion (141) was stated but not proved. Now that we know that (141) PF-transforms to

$\Phi_{\text{even}} \xleftarrow{\text{twice}} \Phi_{\text{even}}$ and that $\Phi_{\text{even}} = \rho \text{ twice}$, complete the following "almost no work at all" PF-calculation of (141):

$$\begin{array}{lcl}
 \Phi_{\text{even}} \xleftarrow{\text{twice}} \Phi_{\text{even}} & \equiv & \{ \dots\dots\dots \} \\
 \equiv & \{ \dots\dots\dots \} & \text{twice} \cdot \Phi_{\text{even}} \subseteq \text{twice} \\
 \text{twice} \cdot \Phi_{\text{even}} \subseteq \Phi_{\text{even}} \cdot \text{twice} & \Leftarrow & \{ \dots\dots\dots \} \\
 \equiv & \{ \dots\dots\dots \} & \Phi_{\text{even}} \subseteq \text{id} \\
 \text{twice} \cdot \Phi_{\text{even}} \subseteq \rho \text{ twice} \cdot \text{twice} & \equiv & \{ \dots\dots\dots \} \\
 & & \text{TRUE}
 \end{array}$$

□