

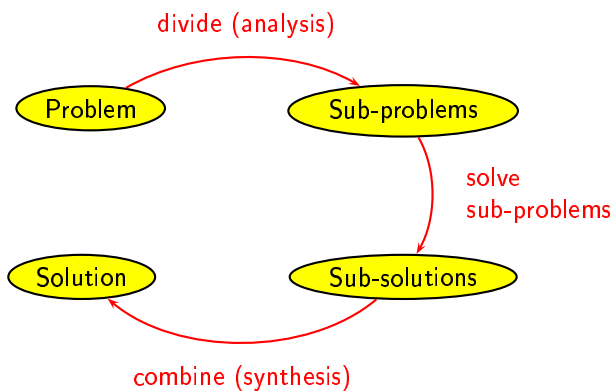
An Introduction to Relational Hylomorphisms

José N. Oliveira
Dept. Informatica
Universidade do Minho, 4700 Braga, Portugal
jno@di.uminho.pt

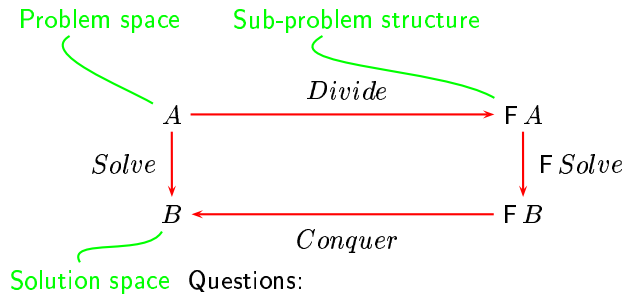
September 16, 2004

“How” does one specify?

General problem solving strategy? **Divide-and-conquer**:



Divide-and-conquer (formally)

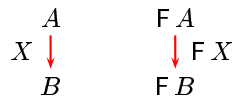


- What are the mathematics of *Divide*, *Conquer*, *Solve*?
- What do (FA) , $(FSolve)$ mean?

Relators

Symbol F is overloaded:

- FA means a (parametric) **datatype**, eg. A^* — seq of A in VDM-SL;
- FX means a **relation**



Example: X^* will be such that

$$l(X^*)l' \equiv len l = len l' \wedge \forall i \in inds l. (l\ i)X(l'\ i)$$

Properties of relators

Every **relator** F is monotone,

$$R \subseteq S \Rightarrow (F R) \subseteq (F S)$$

and commutes with (\cdot) , $(\cdot)^\circ$ and id :

$$F(R \cdot S) = (F R) \cdot (F S)$$

$$F id = id$$

$$F(R^\circ) = (F R)^\circ$$

Terminology:

$A \xleftarrow{R} F A$ is called an **F-algebra** $A \xrightarrow{S} F A$ is called an **F-coalgebra**

Back to divide-and-conquer

Divide-and-conquer = relational **hylomorphism**:

$$\begin{array}{ccc} A & \xrightarrow{S} & F A \\ X \downarrow & & \downarrow F X \\ B & \xleftarrow{R} & F B \end{array} \quad \text{that is,} \quad X = R \cdot (F X) \cdot S$$

How do we solve this (hylo) equation for X ?

An example first

```

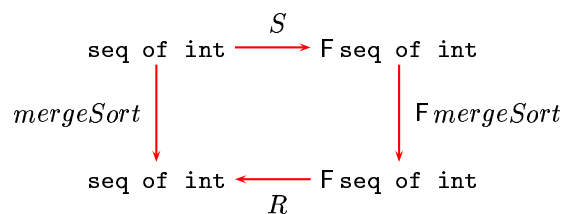
mergeSort: seq of int -> seq of int
mergeSort(l) ==
  cases l :
    [e] -> [e] ,
    others -> let l1 ~ l2
              in set {l} be st
              abs (len l1 - len l2) < 2 in
              lmerge(mergeSort(l1), mergeSort(l2))
end;

```

is a **relational** hylomorphism for

$$F X = \text{int} + X \times X$$

In fact



that is,

$$\text{mergeSort} = R \cdot (F \text{ mergeSort}) \cdot S$$

where $R = [\text{singl}, \text{lmerge}]$, for $\text{singl} = \lambda e.[e]$

mergeSort algebra and coalgebra

and S is

```

S: seq of int -> ( int | seq of int * seq of int )
S(l) ==
  cases l :
    [e] -> e ,
    others -> let l1 ~ l2
              in set {l} be st
              abs (len l1 - len l2) < 2 in
              mk_(l1,l2)
end;

```

Equations and fixpoints

Given an **equation** of pattern

$$x = f x$$

where $A \xrightarrow{f} A$ for some A , we will say that any **solution** to this equation — that is, any $a_0 \in A$ such that

$$a_0 = f a_0$$

is a **fixpoint** of f .

Equations versus recursion

Equation $x = f x$ can also be regarded as a “recursive” definition of its fixpoints, eg.

- $x = 1 + \frac{x}{2}$ is a recursive definition of number 2.

However,

- $x = \frac{x^2+3}{4}$ has two solutions (=fixpoints) 1 e 3. What are we “recursively defining” here?
- Furthermore, $x = x$ defines any object!
- Last but not least, some equations don't have any solution at all. Think eg. of $x = x + 1$ in \mathbb{N} .

Solving (Fixpoint) Equations I

Let $A \xleftarrow{\leq_A} A$ be a **partial order**. Then, every $a \in A$ such that

$$a \leq_A f a$$

is said to be a **post-fixpoint** of f , and every $a \in A$ such that

$$a \geq_A f a$$

is said to be a **pre-fixpoint** of f . Clearly,

Every $a \in A$ which is both a pre-fixpoint and a post-fixpoint of f is a **fixpoint** of f .

Solving (Fixpoint) Equations II

Function $B \xleftarrow{f} A$ is **monotone** wherever

$$f \cdot \leq_A \subseteq \leq_B \cdot f$$

for partial orders \leq_A and \leq_B , that is:

$$f \cdot \leq_A \subseteq \leq_B \cdot f$$

$$\equiv \{ \text{shunting} \}$$

$$\leq_A \subseteq f^\circ \cdot \leq_B \cdot f$$

$$\equiv \{ \text{going pointwise} \}$$

$$a \leq_A a' \Rightarrow (f a) \leq_B (f a')$$

Solving (Fixpoint) Equations III

Pointwise ordering on functions $B \xleftarrow{f, g} A$:

$$f \dot{\leq}_B g \equiv f \subseteq \leq_B \cdot g$$

meaning

$$\begin{aligned} f \dot{\leq}_B g &\equiv f \subseteq \leq_B \cdot g \\ &\equiv \{ \text{shunting} \} \\ &\quad id \subseteq f^\circ \cdot \leq_B \cdot g \\ &\equiv \{ \text{going pointwise} \} \\ &\quad \forall a. f a \leq_B g a \end{aligned}$$

Solving (Fixpoint) Equations IV

Lattice fixpoint theorem (Tarski 1955) for **monotone** f as above and \leq_A defining a **complete lattice**:

- The set of all fixpoints of f ,

$$P = \{a \in A \mid a = f a\}$$

is non-empty and $\langle P; \leq_A \rangle$ is a complete (sub)lattice.

- The least of all fixpoints ($\bigwedge P$) and the greatest one ($\bigvee P$) are as follows:

$$\begin{aligned} \mu f &= \bigwedge P = \bigwedge \{x \mid x \geq f x\} \\ \nu f &= \bigvee P = \bigvee \{x \mid x \leq_A f x\} \end{aligned}$$

Solving relational equations

Hylo-equation $X = R \cdot \underbrace{(F X)}_{f X} \cdot S$

and other relational equations such as

$$X = \underbrace{R \cup R \cdot X}_{g X}$$

(cf. **transitive** closure) have least solutions

$$\begin{aligned}\mu f &= \llbracket R, S \rrbracket \\ \mu g &= R^+\end{aligned}$$

because both f, g are monotone.

Laws of the Fixpoint Calculus

Computation rule:

$$\mu f = f \mu f$$

Example: hylo-cancellation law

$$\llbracket R, S \rrbracket = R \cdot F \llbracket R, S \rrbracket \cdot S$$

Rolling rule:

$$\mu(g \cdot h) = g(\mu(h \cdot g))$$

Example: $f = g \cdot h$ where $h X = R \cdot X$ and $g X = R \cup X$. Then

Rolling rule

$$\begin{aligned}
 \mu f &= \mu(g \cdot h) \\
 &= \{ \text{rolling rule} \} \\
 &\quad g(\mu(h \cdot g)) \\
 &= \{ \text{definitions of } g, h \} \\
 &\quad R \cup (\mu x. (R \cdot (R \cup x))) \\
 &= \{ (R \cdot) \text{ is a lower-adjoint} \} \\
 &\quad R \cup \mu x. (R^2 \cup R \cdot x)
 \end{aligned}$$

Further application of this rule will “factor out” R^2, R^3 , etc., In the limit, $\mu f = \bigcup_{j=1}^{\infty} R^j = R^+$.

Hylo rolling rule

Let $f = g \cdot h$ where $h X = F X \cdot S$ and $g = (R \cdot)$. Then

$$\begin{aligned}
 \mu f &= \mu(g \cdot h) &= g(\mu(h \cdot g)) \\
 &= \{ \text{definitions of } g, h \} \\
 &\quad R \cdot (\mu X. (F(R \cdot X) \cdot S)) \\
 &= \{ \text{relators} \} \\
 &\quad R \cdot (\mu X. F R \cdot F X \cdot S)
 \end{aligned}$$

that is,

$$\llbracket R, S \rrbracket = R \cdot \llbracket F R, S \rrbracket$$

Other rules

Square rule:

$$\mu f = \mu(f^2)$$

Monotonicity:

$$f \dot{\leq} g \Rightarrow \mu f \leq \mu g$$

Thus

$$\llbracket T, U \rrbracket \subseteq \llbracket R, S \rrbracket \Leftarrow T \subseteq R \wedge U \subseteq S$$

Other rules

Induction rule:

$$f x \leq x \Rightarrow \mu f \leq x$$

Thus

$$\llbracket R, S \rrbracket \subseteq T \Leftarrow R \cdot \mathsf{F} T \cdot S \subseteq T$$

and, in particular (**coreflexive** hylos):

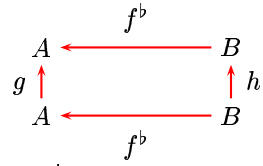
$$\llbracket R, S \rrbracket \subseteq id \Leftarrow R \cdot S \subseteq id$$

$$\llbracket R, R^\circ \rrbracket \subseteq id \Leftarrow R \text{ is simple}$$

Last — but not least — **μ -fusion**:

μ -fusion theorem

Let



- h, g be monotonic,
- (A, \leq) and (B, \sqsubseteq) be complete **lattices**,
- f^b be a lower-adjoint.

Then

$$f^b(\mu h) = \mu g \iff f^b \cdot h = g \cdot f^b$$

Applications of μ -fusion theorem

Converse of a hylo

$$\llbracket S, R \rrbracket^\circ = \llbracket R^\circ, S^\circ \rrbracket$$

Proof: let $f^b = (-)^\circ$ and

$$\begin{aligned}
 h \ X &= S \cdot F \ X \cdot R \\
 g \ X &= T \cdot F \ X \cdot U
 \end{aligned}$$

that is,

$$\begin{aligned}
 \mu h &= \llbracket S, R \rrbracket \\
 \mu g &= \llbracket T, U \rrbracket
 \end{aligned}$$

Proof

Then

$$\begin{aligned} & \llbracket S, R \rrbracket^\circ = \llbracket T, U \rrbracket \\ \Leftarrow & \quad \{ \mu\text{-fusion theorem} \} \\ & (S \cdot F X \cdot R)^\circ = T \cdot F (X^\circ) \cdot U \\ \equiv & \quad \{ \text{converse and } F \text{ is a relator} \} \\ & R^\circ \cdot F X^\circ \cdot S^\circ = T \cdot F X^\circ \cdot U \\ \Leftarrow & \quad \{ \text{Leibnitz} \} \\ & R^\circ = T \wedge S^\circ = U \end{aligned}$$

Hylo(cata)-fusion

$$V \cdot \llbracket S, R \rrbracket = \llbracket T, R \rrbracket \Leftarrow V \cdot S = T \cdot (F V)$$

Proof: since $(V \cdot) = (V \setminus)^\flat$,

$$\begin{aligned} & V \cdot \llbracket S, R \rrbracket = \llbracket T, R \rrbracket \\ \Leftarrow & \quad \{ \mu\text{-fusion theorem} \} \\ & V \cdot (S \cdot F X \cdot R) = T \cdot F (V \cdot X) \cdot R \\ \equiv & \quad \{ \text{associative } (\cdot) \text{ and relator } F \} \\ & (V \cdot S) \cdot F X \cdot R = T \cdot (F V) \cdot (F X) \cdot R \\ \Leftarrow & \quad \{ \text{Leibnitz} \} \\ & V \cdot S = T \cdot (F V) \end{aligned}$$

Hylo(ana)-fusion

$$\llbracket S, R \rrbracket \cdot V = \llbracket S, U \rrbracket \quad \Leftarrow \quad R \cdot V = FV \cdot U$$

Proof: $(\cdot V) = (/V)^b$. Then

$$\begin{aligned} & \llbracket S, R \rrbracket \cdot V = \llbracket S, U \rrbracket \\ \Leftarrow & \quad \{ \mu\text{-fusion theorem} \} \\ & (S \cdot F X \cdot R) \cdot V = S \cdot F (X \cdot V) \cdot U \\ \equiv & \quad \{ \text{associative } (\cdot) \text{ and relator } F \} \\ & S \cdot F X \cdot (R \cdot V) = S \cdot (F X) \cdot (F V) \cdot U \\ \Leftarrow & \quad \{ \text{Leibnitz} \} \\ & R \cdot V = FV \cdot U \end{aligned}$$

Examples: VDM collective types

$$\begin{array}{ccc} \text{set of } A & \xrightarrow{\text{ins}^\circ} & 1 + A \times \text{set of } A \\ \downarrow \{R\} & & \downarrow id + id \times \{R\} \\ B & \xleftarrow{R} & 1 + A \times B \end{array}$$

that is,

$$\{R\} = \llbracket R, \text{ins}^\circ \rrbracket \quad \text{where} \quad \text{ins} \stackrel{\text{def}}{=} [\emptyset, puts]$$

and ...

VDM-SL collective type set of A

```
puts[ $\mathbb{A}$ ] :  $\mathbb{A} * \text{set of } \mathbb{A} \rightarrow \text{set of } \mathbb{A}$   
puts( $e, s$ ) == { $e$ } union  $s$   
pre not  $e$  in set  $s$  ;
```

Pointfree version (for $R = [\underline{u}, f]$):

```
shylo[ $\mathbb{A}, \mathbb{B}$ ] : ( $\mathbb{A} * \mathbb{B} \rightarrow \mathbb{B}$ ) *  $\mathbb{B} \rightarrow \text{set of } \mathbb{A} \rightarrow \mathbb{B}$   
shylo( $f, u$ )( $s$ ) ==  
  if  $s = \{\}$  then  $u$   
  else let  $a$  in set  $s$ ,  
     $r = s \setminus \{a\}$   
    in  $f(a, \text{shylo}[\mathbb{A}, \mathbb{B}](f, u)(r))$ ;
```

VDM-SL collective type set of A

- For $\text{shylo}(f, u)$ to be a function the following must hold:

$$f(a, f(a', b)) = f(a', f(a, b))$$

- **Fusion** law

$$T \cdot \{R\} = \{S\} \Leftarrow T \cdot R = S \cdot (F T)$$

arises from $\text{hylo}(\text{cata})$ -fusion

- The **reflection** law holds:

$$\{ins\} = id$$

Relational cata(ana)morphisms

Define

$$\begin{aligned} \langle R \rangle &= \llbracket R, in^\circ \rrbracket \\ \llbracket S \rrbracket &= \llbracket in, S \rrbracket \end{aligned}$$

where $F \mu F \xrightleftharpoons[in]{in^\circ} \mu F$. For instance,
 $elems = \langle ins \rangle$

Relational cata(ana)morphisms

From

$$\llbracket S, R \rrbracket^\circ = \llbracket R^\circ, S^\circ \rrbracket$$

infer

$$\begin{aligned} \llbracket S \rrbracket &= \llbracket in, S \rrbracket^{\circ\circ} \\ &= \llbracket S^\circ, in^\circ \rrbracket^\circ \\ &= \langle S^\circ \rangle^\circ \end{aligned}$$

(=ana is the converse of the cata of the converse)

Inductive coreflexives

Recall

$$[R, S] \subseteq id \iff R \cdot S \subseteq id$$

which entails

$$(R) \subseteq id \iff R \subseteq in$$

that is,

$$(in \cdot S) \subseteq id \iff S \subseteq id$$

Example (on finite lists):

$$IsOrdered \stackrel{\text{def}}{=} (in \cdot (id + ok))$$

Inductive coreflexives

where *ok* is the coreflexive induced by predicate

```
ok(a,x) == forall b in set elems x & a <= b
```

This leads to

$$\begin{aligned} IsOrdered &= [nil, cons \cdot ok] \cdot \\ &\quad (id + id \times IsOrdered) \cdot \\ &\quad [nil, cons]^\circ \\ &= [nil, cons \cdot ok \cdot (id \times IsOrdered)] \cdot \\ &\quad [nil, cons]^\circ \end{aligned}$$

Inductive coreflexives

... and, finally, to

```
IsOrdered(l) ==
  if l = []
  then true
  else (forall b in set elems tl l & hd l <= b) and
        IsOrdered (tl l) ;
```

Exercise: calculate the above from $(in \cdot (id + ok))$

VDM-SL data type $\text{map } A \text{ to } B$

$$\begin{array}{ccc}
 \text{map } A \text{ to } C & \xrightarrow{\text{ins}^\circ} & 1 + (A \times C) \times \text{map } A \text{ to } C \\
 \downarrow \{R\} & & \downarrow id + id \times \langle id, \{R\} \rangle \\
 B & \xleftarrow{R} & 1 + (A \times C) \times B
 \end{array}$$

leading to the following pointwise syntax:

VDM-SL data type $\text{map } A \text{ to } B$

```
mhylo[A,B,C] : (A*C*B -> B) * B ->
               map A to C ->
               B
mhylo(f,u)(s) ==
  if s={|->} then u
  else let a in set dom s,
         c = s(a),
         r = s <-: {a}
  in f(c, mhylo[A,B,C](f,u)(r));
```

Hylos as unique solutions

A standard result of the relational calculus establishes the following condition for

$$\mu X.(R \cdot F X \cdot S) = X$$

to be a **unique** solution:

- the “accessibility relation” of S is required to be **inductive** (cf. “well-founded” relations)
- This ensures **termination** insofar as the “size” of a sub-problem generated by S is strictly smaller than its source.
- One can perform **induction** over S .

Accessibility and membership

Accessibility relation for $F A \xleftarrow{S} A$:

$$\begin{aligned} & \xleftarrow{S} \\ & A \xleftarrow{S} A \\ & \xleftarrow{S} \stackrel{\text{def}}{=} \in_F \cdot S \end{aligned}$$

where $A \xleftarrow{\in_F} F A$ extends $A \xleftarrow{\in} \mathcal{P}A$ inductively over **polynomial** functors, as follows:

$$\begin{aligned} \in_{\mathcal{P}} & \stackrel{\text{def}}{=} \in \\ \in_C & \stackrel{\text{def}}{=} \perp \\ \in_{\lambda X.X} & \stackrel{\text{def}}{=} id \\ \in_{F \times G} & \stackrel{\text{def}}{=} (\in_F \cdot \pi_1) \cup (\in_G \cdot \pi_2) \\ \in_{F+G} & \stackrel{\text{def}}{=} [\in_F, \in_G] \end{aligned}$$

Example

Let $F X = 1 + B \times X$. Then,

$$\begin{aligned}
 & \in_{1+B \times X} \\
 = & \{ \in \text{ for coproduct bifunctor } \} \\
 & [\in_1, \in_{B \times X}] \\
 = & \{ \in \text{ for constant and product (bi)functors } \} \\
 & [\perp, (\in_B \cdot \pi_1) \cup (\in_{\lambda X.X} \cdot \pi_2)] \\
 = & \{ \in \text{ for constant and identity functor } \} \\
 & [\perp, (\perp \cdot \pi_1) \cup (id \cdot \pi_2)] \\
 = & \{ \perp \text{ and } [R, S] = (R \cdot i_1^\circ) \cup (S \cdot i_2^\circ) \} \\
 & \pi_2 \cdot i_2^\circ
 \end{aligned}$$

Example (pointwise)

Then,

$$\begin{aligned}
 \prec_S &= \in_{1+B \times X} \cdot S \\
 &= (\pi_2 \cdot i_2^\circ) \cdot S \\
 &= \pi_2 \cdot (i_2^\circ \cdot S)
 \end{aligned}$$

meaning

$$a' \prec_S a \equiv a' = \pi_2 x \wedge (i_2 x) S a$$

For example, for $S = [nil, cons]^\circ$ on finite sequences, we get

Accessibility on finite sequences

$$\begin{aligned}
 & \pi_2 \cdot (i_2^\circ \cdot [nil, cons]^\circ) \\
 = & \pi_2 \cdot ([nil, cons] \cdot i_2)^\circ \\
 = & \pi_2 \cdot cons^\circ
 \end{aligned}$$

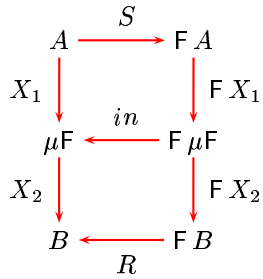
and therefore

$$\begin{aligned}
 a' \prec_{[nil, cons]^\circ} a & \equiv a' = \pi_2(b, a') \wedge a = cons(b, a') \\
 \equiv a' \prec_{[nil, cons]^\circ} cons(b, a') \\
 \equiv t/a \prec_{[nil, cons]^\circ} a
 \end{aligned}$$

Hyla factorization (2)

For such inductive S , we can factor $\llbracket R, S \rrbracket$ in two components

$$\begin{array}{c}
 \xrightarrow{in} \\
 \mu F \cong F(\mu F) \\
 \xleftarrow{in^\circ}
 \end{array}$$

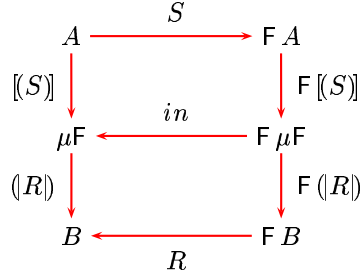


$$X1 = in \cdot F X_1 \cdot S$$

Hylo-factorization Theorem

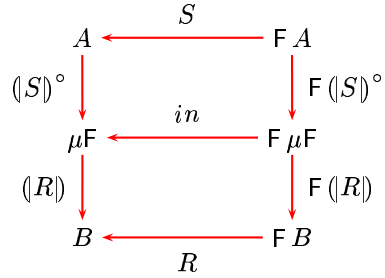
Using $\langle _ \rangle, \llbracket _ \rrbracket$ notation:

$$\mu X.(R \cdot F X \cdot S) = \langle R \rangle \cdot \llbracket S \rrbracket$$

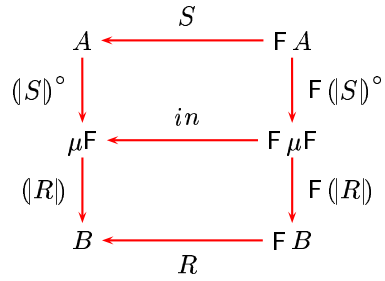


Taking converses:

$$\mu X.(R \cdot F X \cdot S^\circ) = \langle R \rangle \cdot \llbracket S \rrbracket^\circ$$



Entire /simple factorization if both R and S° are entire /simple
(= S surjective /injective)



Virtual data-structuring

- Particular choice of F for sub-problem organization induces **intermediate** type μF .

This is made explicit by hylo-factorization.

- **Intermediate** data-structure saves the outcome of a “one go” **divide** step $(S)^\circ$ and passes it on to the **conquer** step (R) for processing.
- In general, people “**fuse**” things very early in design, thus **virtualizing** this structure.
- Factorization helps in spec **understanding** and **classification**.

Final note on inductive relation \prec

Is such that the validity of a predicate ϕ can be proved by **structural induction** over it:

$$(\forall a. \phi a) \Leftarrow \underbrace{(\forall a. \phi a \Leftarrow (\forall c \prec a. \phi c))}_{\text{induction step}}$$

which corresponds to pointfree definition

$$\prec \setminus X \subseteq X \Rightarrow \top \subseteq X$$

where X generalizes ϕ such that $\phi a = aXb$, for some fixed b .