

# Appendix A

## MFP-I/0203: Addenda to the Lectures Notes

### A.1 Elements of the Fixpoint Calculus

#### A.1.1 Basic definitions

**Definition 1 (Poset)** A poset  $(A, \leq_A)$  is a set  $A$  equipped with a partial ordering  $\leq_A$ , that is, a relation  $\leq_A \subseteq A \times A$  which is reflexive, transitive and antisymmetric.

□

**Definition 2 (Pre/post-fixpoints)** Let  $A \xleftarrow{f} A$  be a (endo)function on poset  $(A, \leq_A)$ . Then

- every  $a \in A$  such that

$$a \leq_A f a \quad (\text{A.1})$$

is said to be a post-fixpoint of  $f$ .

- every  $a \in A$  such that

$$a \geq_A f a \quad (\text{A.2})$$

is said to be a pre-fixpoint of  $f$ .

- every  $a \in A$  which is both a pre-fixpoint and a post-fixpoint of  $f$  is said to be a fixpoint of  $f$  and is such that

$$a = f a \quad (\text{A.3})$$

holds.

□

**Examples:**

- Given endofunction

$$\begin{array}{ccc} f : [0, 10] & \rightarrow & [0, 10] \\ x & \rightsquigarrow & 10 - x \end{array}$$

one very easily checks that 5 is a fixpoint of  $f$ , since  $f\ 5 = 10 - 5 = 5$ .

- Let  $R \subseteq P \times P$  be a relation on nonempty  $P$  in

$$x = R \cup R \circ x \tag{A.4}$$

Define

$$f\ x = R \cup R \circ x \tag{A.5}$$

on poset  $(\mathcal{P}(P \times P), \subseteq)$ . Then

- $P \times P$  is an example of a pre-fixpoint of  $f$  ( $P \times P$  is the largest relation in the poset).
- $\emptyset$  and  $R$  are examples of post-fixpoints of  $f$ . In fact,  $\emptyset \subseteq R$  and  $R \subseteq R \cup R^2$ .

Clearly, every fixpoint  $a = f\ a$  can be regarded as a “solution” to equation

$$x = f\ x \tag{A.6}$$

But one can also regard this equation as a “recursive” definition of its fixpoints. For instance, recall equation (2.3)

$$x = 1 + \frac{x}{2}$$

The fact that 2 is a fixpoint of this equation can be rephrased to: “ $x = 1 + \frac{x}{2}$ ” is a recursive definition of number 2.

However, the following equation

$$x = \frac{x^2 + 3}{4}$$

admits two solutions (fixpoints) 1 e 3. What are we “recursively defining” here? The 1 or the 3? Furthermore, equation

$$x = x$$

defines any object! By contrast, some equations don’t have any solution at all. Think e.g. of

$$x = x + 1$$

in  $\mathbb{N}$ . So, in this case, our recursive equation defines... nothing!

### A.1.2 Computing fixpoints

**Definition 3 (Monotone functions)** A function  $B \xleftarrow{f} A$  from poset  $(A, \leq_A)$  to poset  $(B, \leq_B)$  is said to be monotone iff

$$\forall a, a' \in A : a \leq_A a' \Rightarrow (f a) \leq_B (f a')$$

holds.

□

**Definition 4 (Ordering on functions)** Given two functions  $B \xleftarrow{f} A$  and  $B \xleftarrow{g} A$  from poset  $(A, \leq_A)$  to poset  $(B, \leq_B)$  define

$$f \leq g \stackrel{\text{def}}{=} \forall a \in A : (f a) \leq_B (g a) \quad (\text{A.7})$$

□

**Theorem 1 (Lattice Fixpoints)** [Tarski 1955]

Let

- $A \xleftarrow{f} A$  be a monotone function on a complete lattice  $(A; \leq)$ ;
- $P$  be the set of all fixpoints of  $f$ , i.e.

$$P = \{a \in A \mid a = f a\}$$

Then

- $P$  is non-empty and  $(P; \leq)$  is a complete (sub)lattice.
- In particular, the least of all fixpoints  $(\bigwedge P)$  and the greatest one  $(\bigvee P)$  are as follows:

$$\bigwedge P = \bigwedge \{x \mid x \geq f x\} \quad (\text{A.8})$$

$$\bigvee P = \bigvee \{x \mid x \leq f x\} \quad (\text{A.9})$$

We define:

$$\mu f \stackrel{\text{def}}{=} \bigwedge P \quad (\text{A.10})$$

$$\nu f \stackrel{\text{def}}{=} \bigvee P \quad (\text{A.11})$$

□

In the sequel we shall be focussing on *least* fixpoints.

## A.2 2001.12.06 — Laws of the Fixpoint Calculus

**Computation rule:**

$$\mu f = f \mu f \quad (\text{A.12})$$

**Rolling rule:**

$$\mu(g \cdot f) = g(\mu(f \cdot g)) \quad (\text{A.13})$$

**Square rule:**

$$\mu f = \mu(f^2) \quad (\text{A.14})$$

**Monotonicity:**

$$\mu f \leq \mu g \Leftrightarrow f \leq g \quad (\text{A.15})$$

**Induction rule:**

$$\mu f \leq x \Leftrightarrow f x \leq x \quad (\text{A.16})$$

### A.2.1 Illustration

Let  $f x = 1 + \frac{x}{2}$ . Successive application of the computation rule (A.12) leads to:

$$\begin{aligned} \mu f &= 1 + \frac{\mu f}{2} \\ &= 1 + \frac{(1 + \frac{\mu f}{2})}{2} = 1 + \frac{1}{2} + \frac{\mu f}{4} \\ &\vdots \\ &= \sum_{i=1}^n \frac{1}{2^i} + \frac{\mu f}{2^{n+1}} \end{aligned}$$

In the limit ( $n \rightarrow \infty$ ), we get  $\frac{\mu f}{2^{n+1}} = 0$  and therefore  $\mu f = \sum_{i=1}^{\infty} \frac{1}{2^i} = 2$ .

The rolling rule (A.13) can be applied decomposing  $f = g \cdot h$  for  $h x = \frac{x}{2}$  and  $g x = 1 + x$ . Then

$$\begin{aligned} \mu f &= \mu(g \cdot h) = g(\mu(h \cdot g)) \\ &= 1 + \mu x \cdot \frac{1 + x}{2} \end{aligned}$$

whereby  $x = \frac{1+x}{2}$  has solution 1.

The aother rules enable us to reason inequationally. For instance, fact  $1 + \frac{x}{2} \leq 2 + \frac{x}{2}$ , for all  $x$ , and monotonicity (A.15) enables us to say that  $\mu x \cdot (1 + \frac{x}{2}) = 2$  is smaller than  $\mu x \cdot (2 + \frac{x}{2}) = 4$ .

Similar intuition can be gathered from (A.5), providing evidence that  $\mu f = R^+$  (transitive closure of  $R$ ).

For instance (rolling rule), we can decompose  $f$  into  $g \cdot h$  where  $h x = R \cdot x$  and  $g x = R \cup x$ . Then

$$\begin{aligned}
 \mu f &= \mu(g \cdot h) \\
 &= \{ \text{rolling rule} \} \\
 &\quad g(\mu(h \cdot g)) \\
 &= \{ \text{definitions of } g, h \} \\
 &\quad R \cup (\mu x.(R \cdot (R \cup x))) \\
 &= \{ \text{relational calculus} \} \\
 &\quad R \cup \mu x.(R^2 \cup R \cdot x)
 \end{aligned}$$

Further application of this rule will “factor out”  $R^2, R^3, \text{etc.}$ , leaving a “smaller and smaller” fixpoint to be calculated. In the limit, one gets  $\mu f = \bigcup_{j=1}^{\infty} R^j = R^+$ .

### A.2.2 Inductive datatypes “are” fixpoints

Recall

$$X = \underbrace{1 + A \times X}_{F X} \quad (\text{A.17})$$

- The “=” symbol in equation (A.17) should be understood as “ $\cong$ ”
- $F$  should be understood as a *functor*
- So any solution  $X_0$  to the equation should carry along an algebra *in* and its inverse *out* thus providing evidence of the required *isomorphism*:

$$\begin{array}{ccc}
 & \xrightarrow{\text{out}} & \\
 X_0 & \cong & 1 + A \times X_0 \\
 & \xleftarrow{\text{in}} &
 \end{array}$$

For instance,

$$\begin{array}{ccc}
 & \xrightarrow{\text{out}} & \\
 A^* & \cong & 1 + A \times A^* \\
 & \xleftarrow{\text{in} = [\_, \text{cons}]} &
 \end{array}$$

where *out* is the obvious inverse of *in*.

- The  $\leq$ -ordering corresponds to right-invertibility:

$$A \begin{array}{c} \xrightarrow{r} \\ \leq \\ \xleftarrow{f} \end{array} B \quad \text{that is} \quad \{ f \cdot r = id_A \} \quad (\text{A.18})$$

**In general:** For  $F$  a polynomial functor, equation  $X \cong F X$

- admits a standard solution — its **least fixpoint** solution

$$\mu F \begin{array}{c} \xrightarrow{out} \\ \cong \\ \xleftarrow{in} \end{array} F \mu F \quad (\text{A.19})$$

Example:

$$\mu X. 1 + A \times X = A^*$$

— where  $\mu X. F X$  abbreviates  $\mu(\lambda X. F X)$ .

- $\mu F$  is *initial* among all other  $F$ -structures — that is to say, for a given  $(A, A \xleftarrow{\alpha} F A)$ , arrow  $k$  in

$$\begin{array}{ccc} \mu F & \xleftarrow{in} & F \mu F \\ k \downarrow & & \downarrow F k \\ A & \xleftarrow{\alpha} & F A \end{array}$$

is unique, recall **universal property**:

$$k = \langle \alpha \rangle \Leftrightarrow k \cdot in = \alpha \cdot F k$$

### A.2.3 Application of the Fixpoint Calculus to datatypes

**Computation rule:**

$$\mu F \begin{array}{c} \xrightarrow{out} \\ \cong \\ \xleftarrow{in} \end{array} F (\mu F) \quad (\text{A.20})$$

cf. (A.19).

**Rolling rule:**

$$\begin{array}{ccc} \mu(G \cdot F) & \xrightarrow{\langle G \text{ in}_{\mu(F \cdot G)} \rangle} & G(\mu(F \cdot G)) \\ & \cong & \\ & \xleftarrow{\text{in}_{G \cdot F} \cdot G \langle \text{in}_{G \cdot F} \rangle} & \end{array} \quad (\text{A.21})$$

cf.

$$\begin{array}{ccc} \mu(G \cdot F) & \xleftarrow{\text{in}_{\mu(G \cdot F)}} & (G \cdot F)(\mu(G \cdot F)) \\ \langle g \rangle \downarrow & & \downarrow (G \cdot F) \langle g \rangle \\ G(\mu(F \cdot G)) & \xleftarrow{g = G \text{ in}_{\mu(F \cdot G)}} & (G \cdot F)(G(\mu(F \cdot G))) \end{array}$$

Example: Let

$$\begin{aligned} F X &= 1 + X \\ G X &= A \times X \end{aligned}$$

Then

$$\begin{aligned} (F \cdot G) X &= 1 + A \times X \\ (G \cdot F) X &= A \times (1 + X) \cong A + A \times X \end{aligned}$$

where  $(G \cdot F)$  involves natural isomorphism  $k = \langle [id, \pi_1], (! + \pi_2) \rangle$ . Then

$$\begin{aligned} \mu(F \cdot G) &= A^* \\ \mu(G \cdot F) &= A^+ \end{aligned}$$

The rolling rule will state the obvious fact that

$$A^+ \cong A \times A^*$$

holds, that is

$$\begin{array}{ccccc} \mu(G \cdot F) = A^+ & \xleftarrow{\text{in}_{A^+}} & A \times (1 + A^+) & \xleftarrow{k} & A + A \times A^+ \\ f \downarrow & & \downarrow id \times (id + f) & & \downarrow id + id \times f \\ A \times A^* & \xleftarrow{id \times \text{in}_{A^*}} & A \times (1 + A \times A^*) & \xleftarrow{k} & A + A \times (A \times A^*) \end{array} \quad (\text{A.22})$$

for  $k = \langle [id, \pi_1], (! + \pi_2) \rangle$ .

**Exercise 1.1** Concerning (A.22), show that  $f = \langle f_1, f_2 \rangle$  where  $f_1$  is the “head” function and  $f_2$  is the “tail” function on  $A^+$ .

□

**Monotonicity:**

$$\begin{array}{ccc} \mu F & \xrightleftharpoons[\langle in_F \cdot f \rangle_G]{\langle in_G \cdot r \rangle_F} & \mu G \\ & \leq & \\ F X & \xrightleftharpoons[f]{r} & G X \end{array} \Leftrightarrow$$

cf. diagram

$$\begin{array}{ccccc} & & in_G & & \\ & & \curvearrowright & & \\ \mu G & \xleftarrow{F(\mu G)} & F(\mu G) & \xleftarrow{f} & G(\mu G) \\ \downarrow \langle in_F \cdot f \rangle_G & & \downarrow F \langle in_F \cdot f \rangle_G & & \downarrow G \langle in_F \cdot f \rangle_G \\ \mu F & \xleftarrow{in_F} & F(\mu F) & \xleftarrow{f} & G(\mu F) \end{array}$$

Let us see an example of application, whereby possibly empty sequences are represented by non-empty ones —  $\mu F = A^*$  and  $\mu G = A^+$ , for  $1 \leq A$ :

$$\begin{array}{ccc} A^* & \xrightleftharpoons[\langle \_, cons \rangle]{\cong} & 1 + A \times A^* \\ A^+ & \xrightleftharpoons[\langle sing, cons \rangle]{\cong} & A + A \times A^+ \end{array}$$

where  $sing a = [a]$ .

### A.3 Mutual recursion

Consider mutually-dependent  $f$  and  $g$  as follows:

```
f: nat -> nat
f(n) == if n = 0 then 0 else g(n - 1);

g: nat -> nat
g(n) == if n = 0 then 1 else f(n - 1) + g(n - 1);
```

How we reason about mutually-dependent functions?

The situation is handled by the so-called *mutual-recursion law*, also called “Fokkinga law”:

$$\begin{array}{l} f \cdot in = h \cdot F \langle f, g \rangle \\ \quad \wedge \\ g \cdot in = k \cdot F \langle f, g \rangle \end{array} \Rightarrow \langle f, g \rangle = \langle \langle h, k \rangle \rangle \quad (\text{A.23})$$



In terms of diagrams: from

$$\begin{array}{ccc}
 T & \xleftarrow{in} & F T \\
 f \downarrow & & \downarrow F \langle f, g \rangle \\
 A & \xleftarrow{h} & F(A \times B)
 \end{array}
 \qquad
 \begin{array}{ccc}
 T & \xleftarrow{in} & F T \\
 g \downarrow & & \downarrow F \langle f, g \rangle \\
 B & \xleftarrow{k} & F(A \times B)
 \end{array}$$

we get

$$\begin{array}{ccc}
 T & \xleftarrow{in} & F T \\
 \langle f, g \rangle \downarrow & & \downarrow F \langle f, g \rangle \\
 A \times B & \xleftarrow{\langle h, k \rangle} & F(A \times B)
 \end{array}$$

Proof:

$$\begin{aligned}
 & \langle f, g \rangle \cdot in = \langle h, k \rangle \cdot F \langle f, g \rangle \\
 \equiv & \quad \{ \text{by } \times\text{-fusion (1.24)} \} \\
 & \langle f, g \rangle \cdot in = \langle h \cdot F \langle f, g \rangle, k \cdot F \langle f, g \rangle \rangle \\
 \equiv & \quad \{ \text{by hypothesis} \} \\
 & \langle f, g \rangle \cdot in = \langle f \cdot in, g \cdot in \rangle \\
 \equiv & \quad \{ \text{by (reverse) } \times\text{-fusion (1.24)} \} \\
 & \langle f, g \rangle \cdot in = \langle f, g \rangle \cdot in \\
 \equiv & \quad \{ \text{equality is reflexive} \} \\
 & TRUE
 \end{aligned}$$

Applying this to the above pair of  $f$  and  $g$ :

$$\begin{aligned}
 f \cdot [\underline{0}, suc] &= [\underline{0}, g] \\
 g \cdot [\underline{0}, suc] &= [\underline{1}, + \cdot \langle f, g \rangle]
 \end{aligned}$$

The mutual dependence can be made more explicit by forcing

$$\begin{aligned}
 f \cdot [\underline{0}, suc] &= [\underline{0}, \pi_2 \cdot \langle f, g \rangle] \\
 g \cdot [\underline{0}, suc] &= [\underline{1}, + \cdot \langle f, g \rangle]
 \end{aligned}$$

The underlying inductive type is

$$\mathbb{N}_0 \cong \underbrace{1 + \mathbb{N}_0}_{F \mathbb{N}_0} \tag{A.24}$$

which is such that  $F f = id + f$ . So we can write

$$\begin{aligned}
 f \cdot in &= [\underline{0}, \pi_2] \cdot F \langle f, g \rangle \\
 g \cdot in &= [\underline{1}, +] \cdot F \langle f, g \rangle
 \end{aligned}$$

So we identify  $h = [\underline{0}, \pi_2]$  and  $k = [\underline{1}, +]$  therefore obtaining

$$\begin{aligned} \langle f, g \rangle &= \{ \text{Fokkinga law} \} \\ &\quad (\langle [\underline{0}, \pi_2], [\underline{1}, +] \rangle) \\ &= \{ \text{exchange law} \} \\ &\quad (\langle [\underline{0}, \underline{1}], \langle \pi_2, + \rangle \rangle) \end{aligned}$$

which is easily converted into VDM-SL as follows:

```
fg: nat -> nat
fg(n) == if n = 0 then mk_(0,1)
        else let p=fg(n - 1)
              in mk_(p.#2,p.#1 + p.#2);
```

### A.3.1 Example

Checking a list-invariant which ensures that a (non-empty) list is ordered:

$$\begin{aligned} \text{ordered} &: A^+ \longrightarrow 2 \\ \text{ordered}[a] &= \text{TRUE} \\ \text{ordered}(\text{cons}(a, l)) &= a > (\text{Max } l) \wedge (\text{ordered } l) \end{aligned}$$

Assuming  $\text{singl } a = [a]$  we can depict  $\text{ordered}$  as follows:

$$\begin{array}{ccc} A^+ & \xleftarrow{[\text{singl}, \text{cons}]} & A + A \times A^+ \\ \text{ordered} \downarrow & & \downarrow \text{id} + \text{id} \times \langle \text{Max}, \text{ordered} \rangle \\ 2 & \xleftarrow{[\text{TRUE}, \alpha]} & A + A \times (A \times 2) \end{array}$$

where

$$\alpha(a, (m, b)) \stackrel{\text{def}}{=} a > m \wedge b$$

and where

$$\text{Max} = (\langle [\text{id}, \text{max}] \rangle)$$

cf.

$$\begin{array}{ccc} A^+ & \xleftarrow{[\text{singl}, \text{cons}]} & A + A \times A^+ \\ \text{Max} \downarrow & & \downarrow \text{id} + \text{id} \times \text{Max} \\ A & \xleftarrow{[\text{id}, \text{max}]} & A + A \times A \end{array}$$

It is easy to check that the equation implicit in this diagram is the same as the one implicit in

$$\begin{array}{ccc}
 A^+ & \xleftarrow{[singl, cons]} & A + A \times A^+ \\
 \downarrow Max & & \downarrow id + id \times \langle Max, g \rangle \\
 A & \xleftarrow{[id, max \cdot (id \times \pi_1)]} & A + A \times (A \times B)
 \end{array}$$

for any  $A^+ \xrightarrow{g} B$ . For  $B = 2$  and  $g = ordered$  we are in position to apply Fokkinga's law and to obtain:

$$\begin{aligned}
 \langle Max, ordered \rangle &= \langle ([id, max \cdot (id \times \pi_1)], [\underline{TRUE}, \alpha]) \rangle \\
 &= \{ \text{exchange law (1.47)} \} \\
 &= \langle ([id, \underline{TRUE}], \langle max \cdot (id \times \pi_1), \alpha \rangle) \rangle
 \end{aligned}$$

Of course,  $ordered = \pi_2 \cdot \langle Max, ordered \rangle$ . Calling  $aux$  to the above synthesized catamorphism, we end up with the following realization of  $ordered$ :

$$\begin{array}{lcl}
 ordered\ l & = & \text{let} \quad (a, b) = aux\ l \\
 & & \text{in} \quad b
 \end{array}$$

where

$$\begin{aligned}
 aux : A^+ &\longrightarrow A \times 2 \\
 ordered[a] &= (a, TRUE) \\
 ordered(cons(a, l)) &= \text{let} \quad (m, b) = aux\ l \\
 &\quad \text{in} \quad (max(a, m), (a > m \wedge b))
 \end{aligned}$$

### A.3.2 “Banana-split”: a corollary of the mutual-recursion law

Let  $h = i \cdot F \pi_1$  and  $k = j \cdot F \pi_2$  in (A.23). Then

$$\begin{aligned}
 f \cdot in &= (i \cdot F \pi_1) \cdot F \langle f, g \rangle \\
 &\equiv \{ \text{composition is associative and } F \text{ is a functor} \} \\
 f \cdot in &= i \cdot F (\pi_1 \cdot \langle f, g \rangle) \\
 &\equiv \{ \text{by } \times\text{-cancellation (1.20)} \} \\
 f \cdot in &= i \cdot F f \\
 &\equiv \{ \text{by cata-cancellation} \} \\
 f &= \langle i \rangle
 \end{aligned}$$

Similarly, from  $k = j \cdot F \pi_2$  we get

$$g = \langle j \rangle$$

Then, from (A.23), we get

$$\langle \langle i \rangle, \langle j \rangle \rangle = \langle \langle i \cdot F \pi_1, j \cdot F \pi_2 \rangle \rangle$$

that is

$$\langle \langle i \rangle, \langle j \rangle \rangle = \langle (i \times j) \cdot \langle F \pi_1, F \pi_2 \rangle \rangle \quad (\text{A.25})$$

by (reverse)  $\times$ -absorption (1.25).

This law provides us with a very useful tool for “parallel loop” inter-combination: “loops”  $\langle i \rangle$  and  $\langle j \rangle$  are fused together into a single “loop”  $\langle (i \times j) \cdot \langle F \pi_1, F \pi_2 \rangle \rangle$ . The need for this kind of calculation arises very often. Consider, for instance, the function which computes the average of a non-empty list of natural numbers:

$$average \stackrel{\text{def}}{=} (/) \cdot \langle sum, length \rangle$$

Both  $sum$  and  $length$  are  $\mathbb{N}^+$  catamorphisms:

$$\begin{aligned} suma &= \langle [id, +] \rangle \\ length &= \langle [\underline{1}, suc \cdot \pi_2] \rangle \end{aligned}$$

Function  $average$  will do two independent traversals of the argument list before division  $(/)$  takes place. Banana-split fuses such two traversals into a single one, thus leading to a function which: (a) runs twice as fast (b) can be converted into a *while loop* by introduction of accumulation parameters (such as seen above).

**Exercise 1.2** Apply the banana-split law to the following definition of the *unzip* function:

$$unzip \stackrel{\text{def}}{=} \langle \pi_1^*, \pi_2^* \rangle$$

Extend *unzip* to binary trees and repeat the exercise.

□

## A.4 Paramorphisms

Consider the standard definition of the factorial function (in VDM-SL notation):

```
fac : nat -> nat
fac(n) == if n = 0 then 1 else fac(n-1) * n
```

The pattern of recursion of this function — usually known as *primitive recursion* — is somewhat more elaborate than that of a catamorphism over  $\mathbb{N}$ .

Note that it can be captured by the following diagram:

$$\begin{array}{ccc} \mathbb{N} & \xleftarrow{[\underline{0}, suc]} & 1 + \mathbb{N} \\ fac \downarrow & & \downarrow id + \langle fac, id \rangle \\ \mathbb{N} & \xleftarrow{[\underline{1}, mul \cdot (id \times suc)]} & 1 + (\mathbb{N} \times \mathbb{N}) \end{array}$$

Function  $fac$  is a particular instance of a so-called *paramorphism*. In general, a paramorphism of some  $f$  relative to functor  $F$ , is the unique morphism  $\langle\!\langle f \rangle\!\rangle$  which is such that

$$\begin{array}{ccc} T & \xleftarrow{in} & F T \\ \langle\!\langle f \rangle\!\rangle \downarrow & & \downarrow F \langle\!\langle f \rangle\!\rangle, id \\ C & \xleftarrow{f} & F (C \times T) \end{array}$$

that is, we have the following universal property:

$$h = \langle\!\langle f \rangle\!\rangle \equiv h \cdot in = f \cdot F \langle h, id \rangle$$

#### A.4.1 Examples of paramorphisms

From above we can express the factorial function as a paramorphism:

$$fac = \langle\!\langle \underline{1}, mul \cdot (id \times suc) \rangle\!\rangle$$

A less straightforward example is that of a function  $nw$  — *cf.* `wc -w` in LINUX— counting the number of words in text (`seq of char`):

```

nw : seq of char -> nat
nw(s) == if s = [] then 0
        else if not sep(hd s) and sepahead(tl s)
            then nw(tl s) + 1 else nw(tl s) ;

sepahead: seq of char -> bool
sepahead(s) == (s = []) or sep(hd s) ;

sep : char -> bool
sep(c) == c = ' ' or c = '\n' or c = '\t' ;

```

This is list-paramorphism

$$\begin{array}{ccc} char^* & \xleftarrow{[\underline{[]}, cons]} & 1 + char \times char^* \\ nw \downarrow & & \downarrow id + id \times \langle nw, id \rangle \\ \mathbb{N}_0 & \xleftarrow{[\underline{0}, h]} & 1 + char \times (\mathbb{N}_0 \times char^*) \end{array}$$

where

```

h : char * (nat * seq of char) -> nat
h(c, mk_(i, s)) == if not sep(c) and sepahead(s) then i + 1 else i ;

```

### A.4.2 Properties of paramorphisms

1. Clearly, every catamorphism can be expressed by a paramorphism:

$$\langle\!\langle f \rangle\!\rangle = \langle\!\langle f \cdot F \pi_1 \rangle\!\rangle \quad (\text{A.26})$$

Proof:

$$\begin{aligned} h &= \langle\!\langle f \cdot F \pi_1 \rangle\!\rangle \equiv h \cdot in = f \cdot F \pi_1 \cdot F \langle h, id \rangle \\ &= \{ \text{functor versus composition (2.45), } \times \text{-cancellation} \} \\ h &= \langle\!\langle f \cdot F \pi_1 \rangle\!\rangle \equiv h \cdot in = f \cdot F h \\ &= \{ \text{cata-universal} \} \\ \langle\!\langle f \rangle\!\rangle &= \langle\!\langle f \cdot F \pi_1 \rangle\!\rangle \end{aligned}$$

2. Conversely, every paramorphism can be expressed (indirectly) in terms of a catamorphism:

$$\langle\!\langle h \rangle\!\rangle = \pi_1 \cdot \langle\!\langle \langle h, in \cdot F \pi_2 \rangle \rangle\!\rangle \quad (\text{A.27})$$

Proof: let  $g$  be  $id$  in the mutual-recursion law, leading to  $f = \langle\!\langle h \rangle\!\rangle$ . Then the equation for  $g = id$  is

$$id \cdot in = k \cdot F \langle f, id \rangle$$

and this is satisfied for  $k = in \cdot F \pi_2$ .

So  $\langle f, g \rangle = \langle\!\langle \langle h, in \cdot F \pi_2 \rangle \rangle\!\rangle$  and  $f = \langle\!\langle h \rangle\!\rangle = \pi_1 \cdot \langle\!\langle \langle h, in \cdot F \pi_2 \rangle \rangle\!\rangle$ .

3. PARA-REFLECTION:

$$id = \langle\!\langle in \cdot F \pi_1 \rangle\!\rangle \quad (\text{A.28})$$

By cata-reflection (2.58) this can be regarded as an instance of (A.26) above.

4. PARA-FUSION:

$$h \cdot \langle\!\langle f \rangle\!\rangle = \langle\!\langle g \rangle\!\rangle \iff h \cdot f = g \cdot F(h \times id) \quad (\text{A.29})$$

#### Example of application

By (A.27) the factorial function can be expressed by the projection of a catamorphism:

$$\begin{aligned} fac &= \pi_1 \cdot \langle\!\langle [\underline{1}, mul \cdot (id \times suc)], in \cdot (id + \pi_2) \rangle\!\rangle \\ &\equiv \{ \text{+-absorption (1.41)} \} \\ fac &= \pi_1 \cdot \langle\!\langle [\underline{1}, mul \cdot (id \times suc)], [\underline{0}, suc \cdot \pi_2] \rangle\!\rangle \\ &= \{ \text{exchange law (1.47)} \} \\ fac &= \pi_1 \cdot \langle\!\langle [\underline{1}, \underline{0}], \langle mul \cdot (id \times suc), suc \cdot \pi_2 \rangle \rangle\!\rangle \end{aligned}$$

This will lead to the following VDM-SL:

```
fac : nat -> nat
fac(n)==facaux(n).#1;

facaux: nat -> nat*nat
facaux(n) == if n=0 then mk_(1,0)
             else let p = facaux(n-1),
                  a = p.#1,
                  b = p.#2
             in mk_(a * (b + 1), b + 1);
```